

# Small permutation classes

Vincent Vatter  
University of Florida



Built using [deck.js](#) and [MathJax](#).  
Hit m for a menu, or the arrow keys to navigate.

# Small permutation classes

Vincent Vatter  
University of Florida

Based on:

- Vatter. Small permutation classes. *Proc. Lond. Math. Soc.* (3) 103 (2011), 879–921.
- Albert, Atkinson, and Vatter. Subclasses of the separable permutations. *Bull. Lond. Math. Soc.* 43 (2011), 859–870.
- Albert, Atkinson, Bouvel, Ruškuc, and Vatter. Geometric grid classes of permutations. *Trans. Amer. Math. Soc.*, to appear.
- Albert, Ruškuc, and Vatter. Inflations of geometric grid classes of permutations. arXiv:1202.1833v1 [math.CO].

Built using [deck.js](#) and [MathJax](#).

Hit m for a menu, or the arrow keys to navigate.

# Background

This is **classical pattern containment**, so we write  $\sigma \leq \pi$  if  $\pi$  contains a subsequence in the same relative order as  $\sigma$ .

A **permutation class** is a downset in this order.

- $\mathcal{C}_n$  denotes the permutations in the class  $\mathcal{C}$  of length  $n$ .
- The **basis** of the class  $\mathcal{C}$  is the minimal permutations *not* in  $\mathcal{C}$ ;  

$$\text{Av}(B) = \{\pi : \pi \text{ avoids } \beta \text{ for all } \beta \in B\}.$$

- The **generating function** of the class  $\mathcal{C}$  is

$$\sum_{n \in \mathbb{N}} |\mathcal{C}_n| x^n = \sum_{\pi \in \mathcal{C}} x^{|\pi|}.$$

- The **(upper) growth rate** of the class  $\mathcal{C}$  is defined as

$$\text{gr}(\mathcal{C}) = \limsup_{n \rightarrow \infty} \sqrt[n]{|\mathcal{C}_n|}.$$

# Background

This is **classical pattern containment**, so we write  $\sigma \leq \pi$  if  $\pi$  contains a subsequence in the same relative order as  $\sigma$ .

A **permutation class** is a downset in this order.

- $\mathcal{C}_n$  denotes the permutations in the class  $\mathcal{C}$  of length  $n$ .
- The **basis** of the class  $\mathcal{C}$  is the minimal permutations *not* in  $\mathcal{C}$ ;  

$$\text{Av}(B) = \{\pi : \pi \text{ avoids } \beta \text{ for all } \beta \in B\}.$$

- The **generating function** of the class  $\mathcal{C}$  is

$$\sum_{n \in \mathbb{N}} |\mathcal{C}_n| x^n = \sum_{\pi \in \mathcal{C}} x^{|\pi|}.$$

- The **(upper) growth rate** of the class  $\mathcal{C}$  is defined as

$$\text{gr}(\mathcal{C}) = \limsup_{n \rightarrow \infty} \sqrt[n]{|\mathcal{C}_n|}.$$

**Does  $\lim_{n \rightarrow \infty} \sqrt[n]{|\mathcal{C}_n|}$  always exist?**

# Background

**Conjecture (Noonan and Zeilberger 1996):** For any finite basis  $B$ , the class  $\text{Av}(B)$  has a  $D$ -finite generating function.

( $f$  is  $D$ -finite if it and all of its derivatives span a finite dimensional vector space over  $\mathbb{C}[[x]]$ .)

# Background

**Conjecture (Noonan and Zeilberger 1996):** For any finite basis  $B$ , the class  $\text{Av}(B)$  has a  $D$ -finite generating function.

( $f$  is  $D$ -finite if it and all of its derivatives span a finite dimensional vector space over  $\mathbb{C}[[x]]$ .)

- **Conjecture (Zeilberger PP2005):** The Noonan-Zeilberger Conjecture is false.



# Background

**Conjecture (Noonan and Zeilberger 1996):** For any finite basis  $B$ , the class  $\text{Av}(B)$  has a  $D$ -finite generating function.

( $f$  is  $D$ -finite if it and all of its derivatives span a finite dimensional vector space over  $\mathbb{C}[[x]]$ .)

- **Conjecture (Zeilberger PP2005):** The Noonan-Zeilberger Conjecture is false.
- **Conjecture? (Zeilberger PP2005):** "Not even God knows  $\text{Av}_{1000}(1324)$ ."

# Background

**Conjecture (Noonan and Zeilberger 1996):** For any finite basis  $B$ , the class  $\text{Av}(B)$  has a  $D$ -finite generating function.

( $f$  is  $D$ -finite if it and all of its derivatives span a finite dimensional vector space over  $\mathbb{C}[[x]]$ .)

- **Conjecture (Zeilberger PP2005):** The Noonan-Zeilberger Conjecture is false.
- **Conjecture? (Zeilberger PP2005):** "Not even God knows  $\text{Av}_{1000}(1324)$ ."

**Conjecture (Balogh, Bollobás, and Morris 2005):** Growth rates are always algebraic integers.

# Background

**Conjecture (Noonan and Zeilberger 1996):** For any finite basis  $B$ , the class  $\text{Av}(B)$  has a  $D$ -finite generating function.

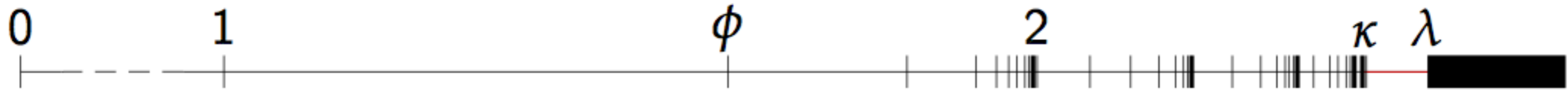
( $f$  is  $D$ -finite if it and all of its derivatives span a finite dimensional vector space over  $\mathbb{C}[[x]]$ .)

- **Conjecture (Zeilberger PP2005):** The Noonan-Zeilberger Conjecture is false.
- **Conjecture? (Zeilberger PP2005):** "Not even God knows  $\text{Av}_{1000}(1324)$ ."

**Conjecture (Balogh, Bollobás, and Morris 2005):** Growth rates are always algebraic integers.

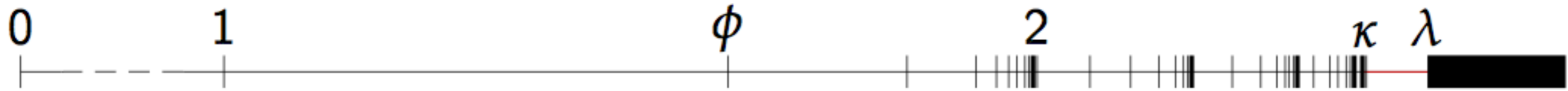
- **Theorem (Albert and Linton 2009):** The set of growth rates contains an uncountable perfect set...

# Growth rates



- The jump from 1 to  $\phi$  is the **Fibonacci Dichotomy** of Kaiser and Klazar (2003).
- Below  $\kappa \approx 2.21$ , we have a characterization of all growth rates (V 2011).
- Above  $\lambda \approx 2.48$ , all real numbers are growth rates (V 2010).

# Growth rates

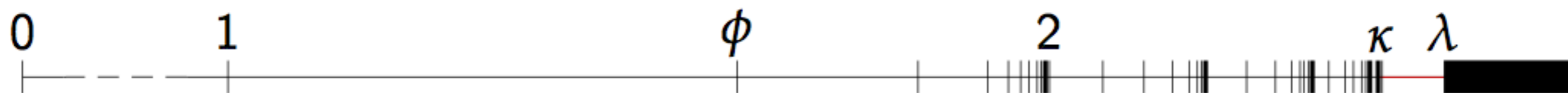


- The jump from 1 to  $\phi$  is the **Fibonacci Dichotomy** of Kaiser and Klazar (2003).
- Below  $\kappa \approx 2.21$ , we have a characterization of all growth rates (V 2011).
- Above  $\lambda \approx 2.48$ , all real numbers are growth rates (V 2010).

← There is a **phase transition** at  $\kappa$ :



# Growth rates

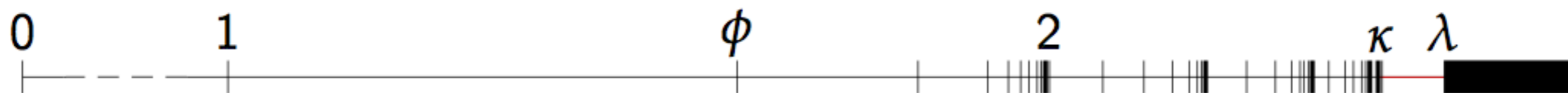


- The jump from 1 to  $\phi$  is the **Fibonacci Dichotomy** of Kaiser and Klazar (2003).
- Below  $\kappa \approx 2.21$ , we have a characterization of all growth rates (V 2011).
- Above  $\lambda \approx 2.48$ , all real numbers are growth rates (V 2010).

← There is a **phase transition** at  $\kappa$ :

- $\kappa$  is the first accumulation point of accumulation points of growth rates.

# Growth rates

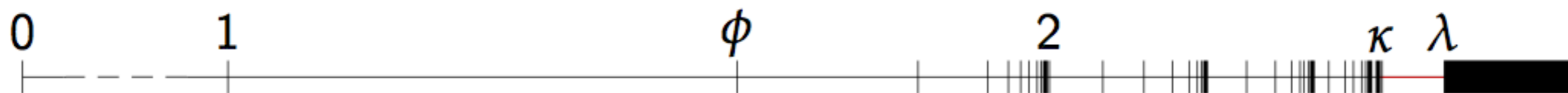


- The jump from 1 to  $\phi$  is the **Fibonacci Dichotomy** of Kaiser and Klazar (2003).
- Below  $\kappa \approx 2.21$ , we have a characterization of all growth rates (V 2011).
- Above  $\lambda \approx 2.48$ , all real numbers are growth rates (V 2010).

← There is a **phase transition** at  $\kappa$ :

- $\kappa$  is the first accumulation point of accumulation points of growth rates.
- $\kappa$  is the first growth rate that admits an infinite antichain.

# Growth rates

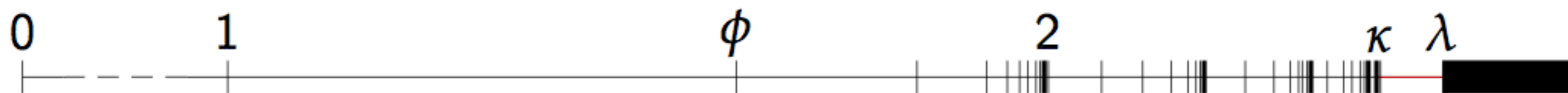


- The jump from 1 to  $\phi$  is the **Fibonacci Dichotomy** of Kaiser and Klazar (2003).
- Below  $\kappa \approx 2.21$ , we have a characterization of all growth rates (V 2011).
- Above  $\lambda \approx 2.48$ , all real numbers are growth rates (V 2010).

← There is a **phase transition** at  $\kappa$ :

- $\kappa$  is the first accumulation point of accumulation points of growth rates.
- $\kappa$  is the first growth rate that admits an infinite antichain.
- Only countably many permutation classes have growth rates under  $\kappa$ .

# Growth rates



- The jump from 1 to  $\phi$  is the **Fibonacci Dichotomy** of Kaiser and Klazar (2003).
- Below  $\kappa \approx 2.21$ , we have a characterization of all growth rates (V 2011).
- Above  $\lambda \approx 2.48$ , all real numbers are growth rates (V 2010).

← There is a **phase transition** at  $\kappa$ :

- $\kappa$  is the first accumulation point of accumulation points of growth rates.
- $\kappa$  is the first growth rate that admits an infinite antichain.
- Only countably many permutation classes have growth rates under  $\kappa$ .
- **All permutation classes of growth rate less than  $\kappa$  have rational generating functions (ARV 2012+).**



ELSEVIER

Discrete Mathematics 195 (1999) 27–38

---

---

DISCRETE  
MATHEMATICS

---

---

## Restricted permutations

M.D. Atkinson\*

*School of Mathematical and Computational Sciences, North Haugh, St Andrews,  
Fife KY16 9SS, UK*

Received 6 November 1997; revised 11 March 1998; accepted 13 April 1998



ELSEVIER

Discrete Mathematics 195 (1999) 27–38

---

---

DISCRETE  
MATHEMATICS

---

---

## Restricted permutations

M.D. Atkinson\*

*School of Mathematical and Computational Sciences, North Haugh, St Andrews,  
Fife KY16 9SS, UK*

Received 6 November 1997; revised 11 March 1998; accepted 13 April 1998

- Initiated the *systematic* study of permutation classes.



ELSEVIER

Discrete Mathematics 195 (1999) 27–38

---

---

DISCRETE  
MATHEMATICS

---

---

## Restricted permutations

M.D. Atkinson\*

*School of Mathematical and Computational Sciences, North Haugh, St Andrews,  
Fife KY16 9SS, UK*

Received 6 November 1997; revised 11 March 1998; accepted 13 April 1998

- Initiated the *systematic* study of permutation classes.
- 59 citations on Google Scholar.



*Order 19: 101–113, 2002.*

© 2002 *Kluwer Academic Publishers. Printed in the Netherlands.*

101

## Partially Well-Ordered Closed Sets of Permutations

M. D. ATKINSON

*Department of Computer Science, University of Otago, New Zealand.*

*E-mail: [mike@cs.otago.ac.nz](mailto:mike@cs.otago.ac.nz)*

M. M. MURPHY and N. RUŠKUC

*School of Mathematics and Statistics, University of St Andrews, U.K.*

*E-mail: {max,nik}@mcs.st-and.ac.uk*

(Received: 30 May 2001; accepted: 24 January 2002)

- Initiated the systematic study of infinite antichains of permutations.
- 44 citations on Google Scholar.



Order 19: 101–113, 2002.

© 2002 Kluwer Academic Publishers. Printed in the Netherlands.

101

## Partially Well-Ordered Closed Sets of Permutations

M. D. ATKINSON

*Department of Computer Science, University of Otago, New Zealand.*

*E-mail: mike@cs.otago.ac.nz*

M. M. MURPHY and N. RUŠKUC

*School of Mathematics and Statistics, University of St Andrews, U.K.*

*E-mail: {max,nik}@mcs.st-and.ac.uk*

(Received: 30 May 2001; accepted: 24 January 2002)

- Initiated the systematic study of infinite antichains of permutations.
- 44 citations on Google Scholar.
- Introduced  $\mathcal{W}$ -classes; precursors of monotone grid classes.



Discrete Mathematics 259 (2002) 19–36

DISCRETE  
MATHEMATICS

[www.elsevier.com/locate/disc](http://www.elsevier.com/locate/disc)

## Restricted permutations and the wreath product

M.D. Atkinson<sup>a,\*</sup>, T. Stitt<sup>b</sup>

<sup>a</sup>*Department of Computer Science, University of Otago, P.O. Box 56, Dunedin, New Zealand*

<sup>b</sup>*School of Computer Science, North Haugh, St Andrews, Fife KY16 9SS, UK*

Received 17 August 1999; received in revised form 27 July 2001; accepted 28 January 2002

- Initiated the study of the substitution decomposition of permutations.
- 44 citations on Google Scholar.



ELSEVIER

Available at  
[www.ComputerScienceWeb.com](http://www.ComputerScienceWeb.com)  
POWERED BY SCIENCE @ DIRECT®

Theoretical Computer Science 306 (2003) 85–100

---

---

Theoretical  
Computer Science

---

---

[www.elsevier.com/locate/tcs](http://www.elsevier.com/locate/tcs)

## Regular closed sets of permutations

M.H. Albert<sup>a</sup>, M.D. Atkinson<sup>a,\*</sup>, N. Ruškuc<sup>b</sup>

<sup>a</sup>*Department of Computer Science, University of Otago, New Zealand*

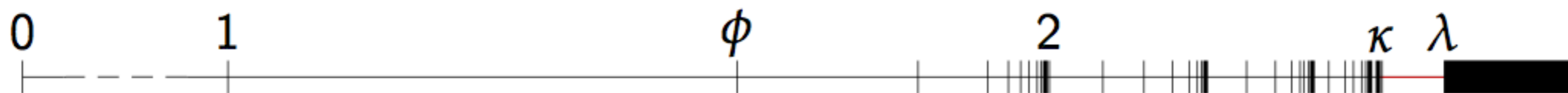
<sup>b</sup>*School of Mathematics and Statistics, University of St Andrews, UK*

Received 12 June 2002; received in revised form 19 February 2003; accepted 26 February 2003

Communicated by W. Szpankowski

- Initiated the use of formal language theory in the study of permutation classes.
- Established the first *general criterion* to show that permutation classes have rational generating functions.
- 29 citations on Google Scholar.

# Growth rates review



- The jump from 1 to  $\phi$  is the **Fibonacci Dichotomy** of Kaiser and Klazar (2003).
- Below  $\kappa \approx 2.21$ , we have a characterization of all growth rates (V 2011).
- Above  $\lambda \approx 2.48$ , all real numbers are growth rates (V 2010).

← There is a **phase transition** at  $\kappa$ :

- $\kappa$  is the first accumulation point of accumulation points of growth rates.
- $\kappa$  is the first growth rate that admits an infinite antichain.
- Only countably many permutation classes have growth rates under  $\kappa$ .
- **All permutation classes of growth rate less than  $\kappa$  have rational generating functions (ARV 2012+).**

# Outline of (rest of) talk

## Tools:

- **The substitution decomposition:** blowing permutations up.
- **Grid classes:** chopping permutations up.

## Small permutation classes:

- Structure.
- Enumeration.

## Other recent (& future?) uses of these tools.

# Substitution

Let  $\pi$  be a permutation of length  $m$  and  $\alpha_1, \dots, \alpha_m$  arbitrary permutations.

We form the **inflation**  $\pi[\alpha_1, \dots, \alpha_m]$  by replacing each entry  $\pi(i)$  by an "interval" which is order isomorphic to  $\alpha_i$  in such a way that the intervals themselves are order isomorphic to  $\pi$ .

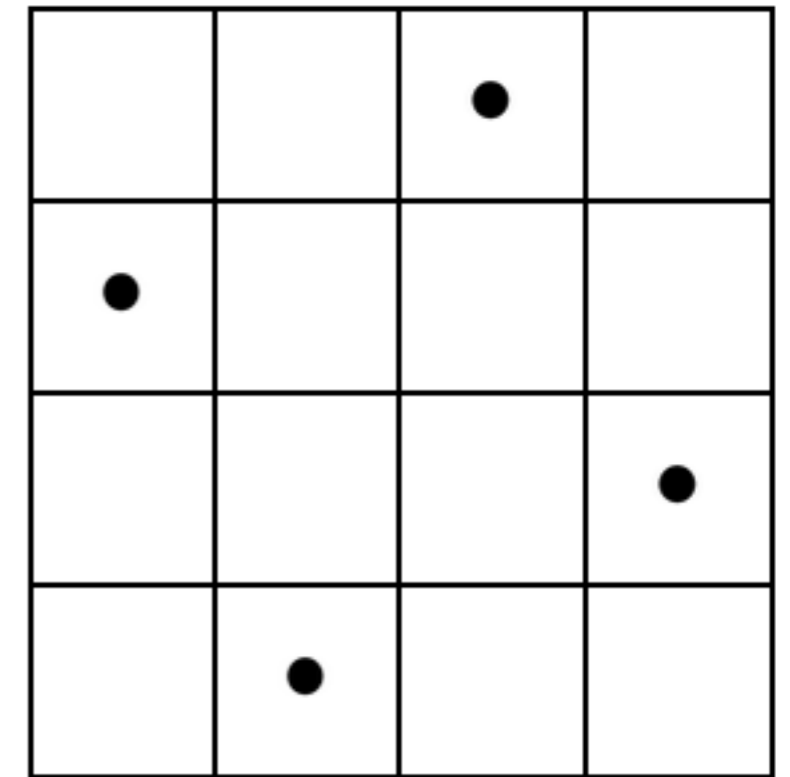


# Substitution

Let  $\pi$  be a permutation of length  $m$  and  $\alpha_1, \dots, \alpha_m$  arbitrary permutations.

We form the **inflation**  $\pi[\alpha_1, \dots, \alpha_m]$  by replacing each entry  $\pi(i)$  by an "interval" which is order isomorphic to  $\alpha_i$  in such a way that the intervals themselves are order isomorphic to  $\pi$ .

**Example:** 3142

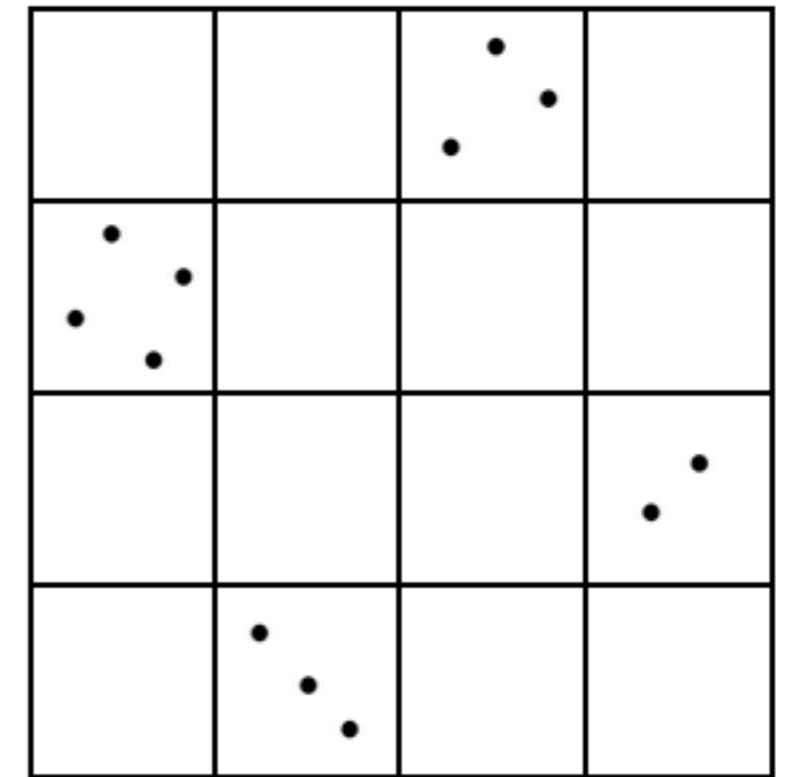


# Substitution

Let  $\pi$  be a permutation of length  $m$  and  $\alpha_1, \dots, \alpha_m$  arbitrary permutations.

We form the **inflation**  $\pi[\alpha_1, \dots, \alpha_m]$  by replacing each entry  $\pi(i)$  by an "interval" which is order isomorphic to  $\alpha_i$  in such a way that the intervals themselves are order isomorphic to  $\pi$ .

**Example:**  $3142[2413, 321, 132, 12]$



# Substitution

Given permutation classes  $\mathcal{C}$  and  $\mathcal{U}$ , we define

$$\mathcal{C}[\mathcal{U}] = \{\pi[\alpha_1, \dots, \alpha_m] : \pi \in \mathcal{C}_m \text{ and } \alpha_1, \dots, \alpha_m \in \mathcal{U}\},$$

the **inflation** of  $\mathcal{C}$  by  $\mathcal{U}$ .

# Substitution

Given permutation classes  $\mathcal{C}$  and  $\mathcal{U}$ , we define

$$\mathcal{C}[\mathcal{U}] = \{\pi[\alpha_1, \dots, \alpha_m] : \pi \in \mathcal{C}_m \text{ and } \alpha_1, \dots, \alpha_m \in \mathcal{U}\},$$

the **inflation** of  $\mathcal{C}$  by  $\mathcal{U}$ .

We also want to inflate classes by themselves.

$$\mathcal{C}^{[0]} = \{1\},$$

$$\mathcal{C}^{[1]} = \mathcal{C},$$

$$\mathcal{C}^{[2]} = \mathcal{C}[\mathcal{C}],$$

$$\vdots$$

$$\mathcal{C}^{[i+1]} = \mathcal{C}[\mathcal{C}^{[i]}],$$

$$\vdots$$

# Substitution

Given permutation classes  $\mathcal{C}$  and  $\mathcal{U}$ , we define

$$\mathcal{C}[\mathcal{U}] = \{\pi[\alpha_1, \dots, \alpha_m] : \pi \in \mathcal{C}_m \text{ and } \alpha_1, \dots, \alpha_m \in \mathcal{U}\},$$

the **inflation** of  $\mathcal{C}$  by  $\mathcal{U}$ .

We also want to inflate classes by themselves.

$$\mathcal{C}^{[0]} = \{1\},$$

$$\mathcal{C}^{[1]} = \mathcal{C},$$

$$\mathcal{C}^{[2]} = \mathcal{C}[\mathcal{C}],$$

$$\vdots$$

$$\mathcal{C}^{[i+1]} = \mathcal{C}[\mathcal{C}^{[i]}],$$

$$\vdots$$

The **substitution completion** of the class  $\mathcal{C}$  is

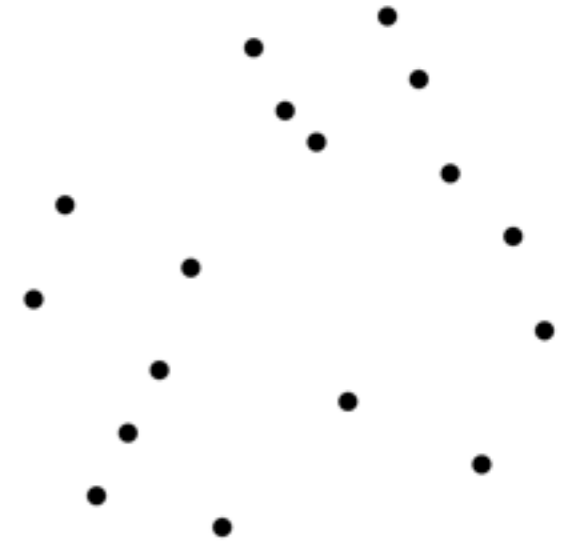
$$\langle \mathcal{C} \rangle = \bigcup_{i \in \mathbb{N}} \mathcal{C}^{[i]}.$$

(Inflate anything in  $\mathcal{C}$  by any sequence of permutations in  $\mathcal{C}$ , as many times as you like.)

# Griddings

Let  $M$  be a matrix of permutation classes. The permutation  $\pi$  has an  $M$ -gridding if  $\pi$  can be chopped up into a block structure ("gridded") such that each block lies in the class specified by  $M$ .

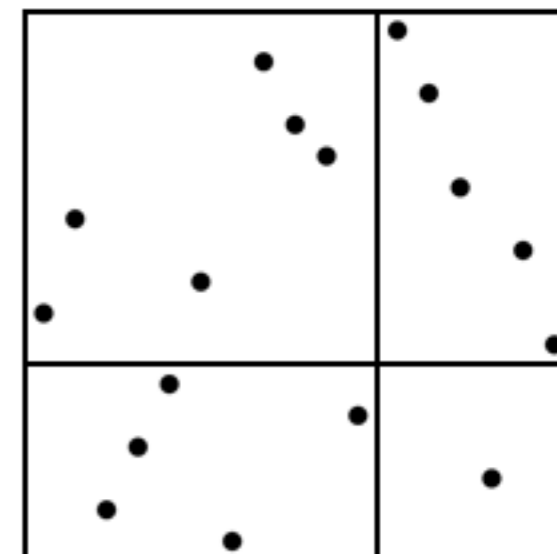
$$M = \begin{pmatrix} \text{Av}(231) & \text{Av}(12) \\ \text{Av}(321) & \text{Av}(12, 21) \end{pmatrix}$$



# Griddings

Let  $M$  be a matrix of permutation classes. The permutation  $\pi$  has an  $M$ -gridding if  $\pi$  can be chopped up into a block structure ("gridded") such that each block lies in the class specified by  $M$ .

$$M = \begin{pmatrix} \text{Av}(231) & \text{Av}(12) \\ \text{Av}(321) & \text{Av}(12, 21) \end{pmatrix}$$



The **grid class** of  $M$  is defined as

$$\text{Grid}(M) = \{\pi : \pi \text{ has an } M\text{-gridding}\}.$$

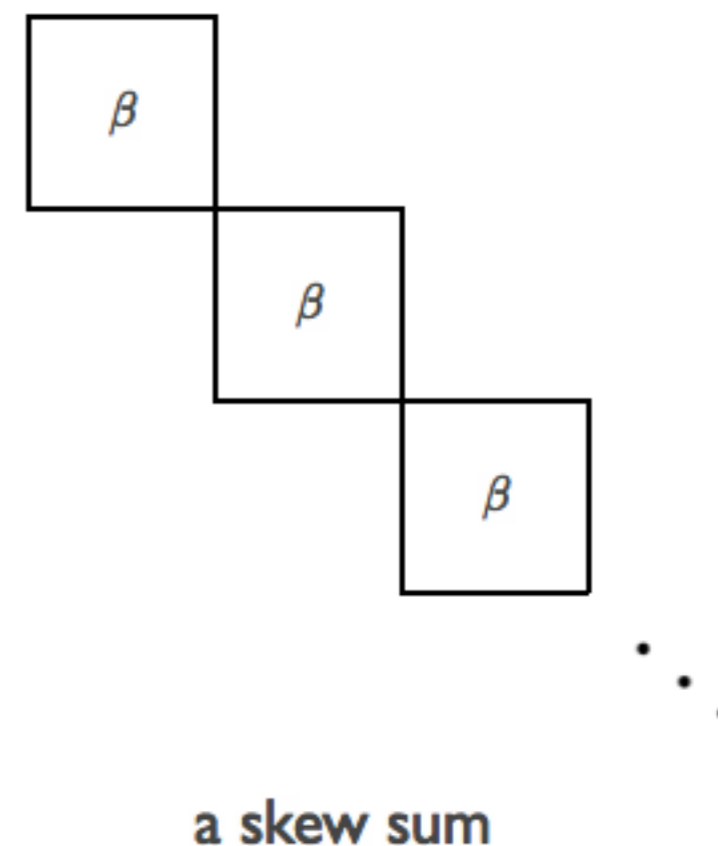
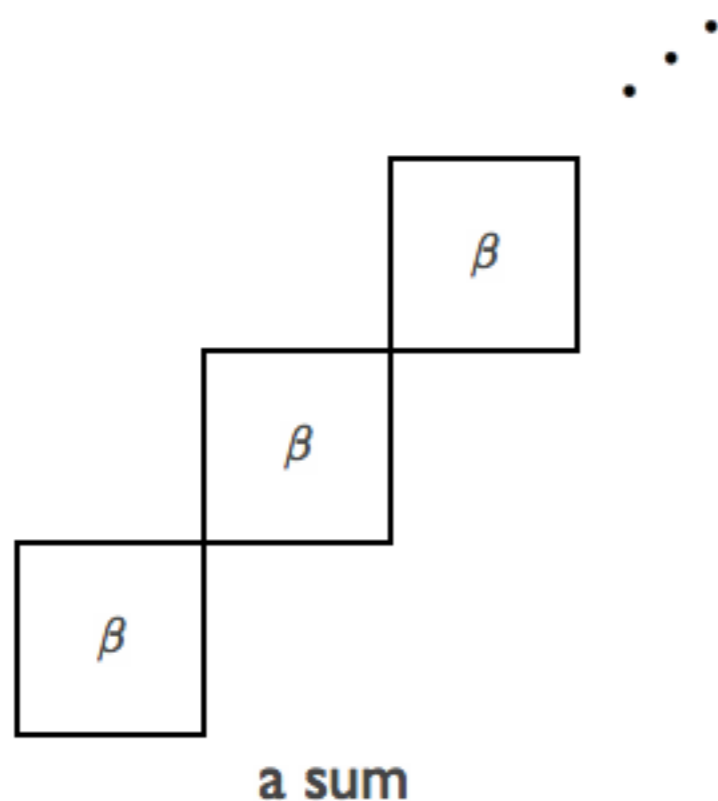
# Characterization

Let  $\mathcal{C}$  and  $\mathcal{D}$  be classes.  $\mathcal{C}$  is  **$\mathcal{D}$ -griddable** if there is some (finite) matrix  $M$ , all entries of which equal  $\mathcal{D}$ , for which  $\mathcal{C} \subseteq \text{Grid}(M)$ .

# Characterization

Let  $\mathcal{C}$  and  $\mathcal{D}$  be classes.  $\mathcal{C}$  is  $\mathcal{D}$ -griddable if there is some (finite) matrix  $M$ , all entries of which equal  $\mathcal{D}$ , for which  $\mathcal{C} \subseteq \text{Grid}(M)$ .

**Theorem (V 2011).** The class  $\mathcal{C}$  is  $\mathcal{D}$ -griddable if and only if  $\mathcal{C}$  contains neither arbitrarily long sums nor skew sums of basis elements of  $\mathcal{D}$ .



**Key take-away:** We can tell if a class is  $\mathcal{D}$ -griddable.

# Monotone gridgings

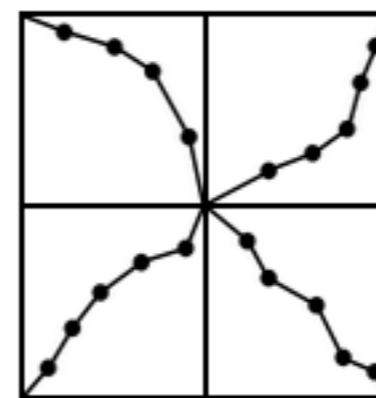
$\text{Grid}(M)$  is a **monotone grid class** if the entries of  $M$  are monotone (or empty) classes —  $\text{Av}(21)$ ,  $\text{Av}(12)$ , or  $\emptyset$ .



# Monotone griddings

$\text{Grid}(M)$  is a **monotone grid class** if the entries of  $M$  are monotone (or empty) classes —  $\text{Av}(21)$ ,  $\text{Av}(12)$ , or  $\emptyset$ .

$$M = \begin{pmatrix} \text{Av}(21) & \text{Av}(12) \\ \text{Av}(12) & \text{Av}(21) \end{pmatrix}$$

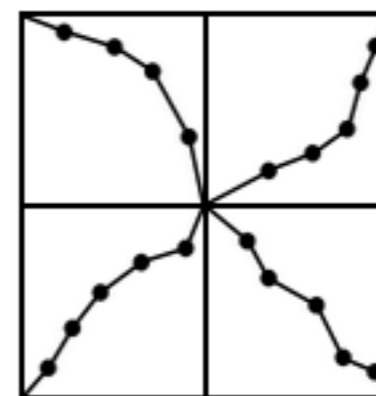


These are the **skew-merged permutations**,  $\text{Av}(2143, 3412)$ . They were introduced by Stankova in 1994 and first enumerated in...

# Monotone griddings

$\text{Grid}(M)$  is a **monotone grid class** if the entries of  $M$  are monotone (or empty) classes —  $\text{Av}(21)$ ,  $\text{Av}(12)$ , or  $\emptyset$ .

$$M = \begin{pmatrix} \text{Av}(21) & \text{Av}(12) \\ \text{Av}(12) & \text{Av}(21) \end{pmatrix}$$



These are the **skew-merged permutations**,  $\text{Av}(2143, 3412)$ . They were introduced by Stankova in 1994 and first enumerated in...

Permutations which are the union of an increasing and a decreasing subsequence

M.D. Atkinson

School of Mathematical and Computational Sciences

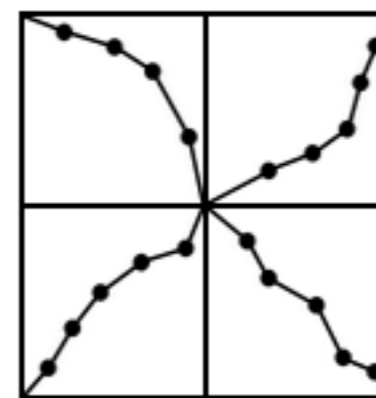
North Haugh, St Andrews, Fife KY16 9SS, UK

`mda@dcs.st-and.ac.uk`

# Monotone griddings

$\text{Grid}(M)$  is a **monotone grid class** if the entries of  $M$  are monotone (or empty) classes —  $\text{Av}(21)$ ,  $\text{Av}(12)$ , or  $\emptyset$ .

$$M = \begin{pmatrix} \text{Av}(21) & \text{Av}(12) \\ \text{Av}(12) & \text{Av}(21) \end{pmatrix}$$



These are the **skew-merged permutations**,  $\text{Av}(2143, 3412)$ . They were introduced by Stankova in 1994 and first enumerated in...

Permutations which are the union of an increasing and a decreasing subsequence

M.D. Atkinson

School of Mathematical and Computational Sciences

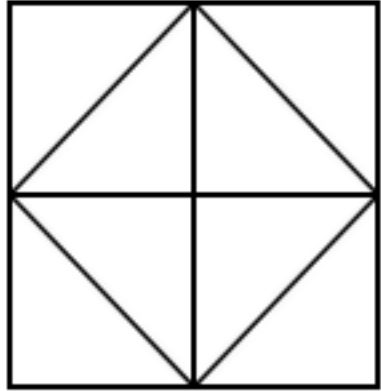
North Haugh, St Andrews, Fife KY16 9SS, UK

`mda@dcs.st-and.ac.uk`

P.S. Henning: The resolution of your conjecture is (basically) in there.

# Geometric gridings

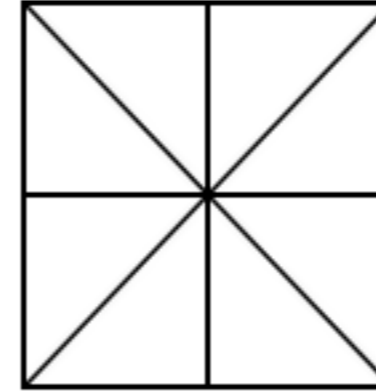
Watson: permutations are "points on a plane".



"Points drawn on a circle"

Watson and V (2011)

$$\frac{1 - 6x + 12x^2 - 10x^3 + 5x^4 + 2x^5 - 2x^6}{(1 - 4x + 2x^2)(1 - x)^3}$$



"The  $\mathcal{X}$  class"

Elizalde (2011)

$$\frac{1 - 3x}{1 - 4x + 2x^2}$$

# Geometric gridings

Let  $M$  be a  $t \times u$   $0/\pm 1$  matrix. To construct the **standard figure** of  $M$ , create a  $t \times u$  rectangular grid with cells  $C_{k,\ell}$  and then:

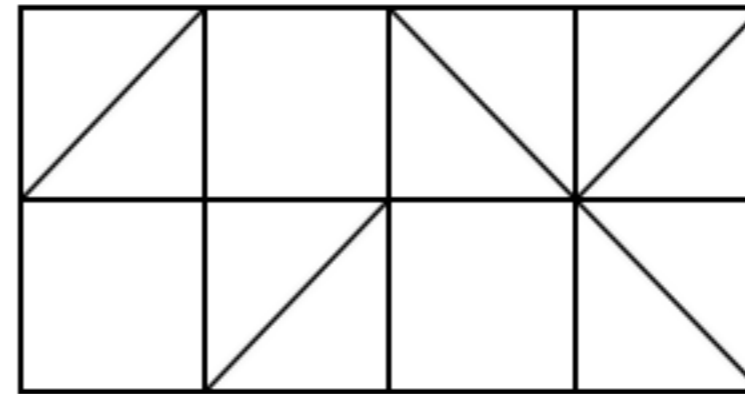
- If  $M_{k,\ell} = 1$ , draw the SW-NE diagonal in  $C_{k,\ell}$ .
- If  $M_{k,\ell} = -1$ , draw the NW-SE diagonal in  $C_{k,\ell}$ .
- If  $M_{k,\ell} = 0$ , leave  $C_{k,\ell}$  empty.

# Geometric gridings

Let  $M$  be a  $t \times u$   $0/\pm 1$  matrix. To construct the **standard figure** of  $M$ , create a  $t \times u$  rectangular grid with cells  $C_{k,\ell}$  and then:

- If  $M_{k,\ell} = 1$ , draw the SW-NE diagonal in  $C_{k,\ell}$ .
- If  $M_{k,\ell} = -1$ , draw the NW-SE diagonal in  $C_{k,\ell}$ .
- If  $M_{k,\ell} = 0$ , leave  $C_{k,\ell}$  empty.

1	0	-1	1
0	1	0	-1

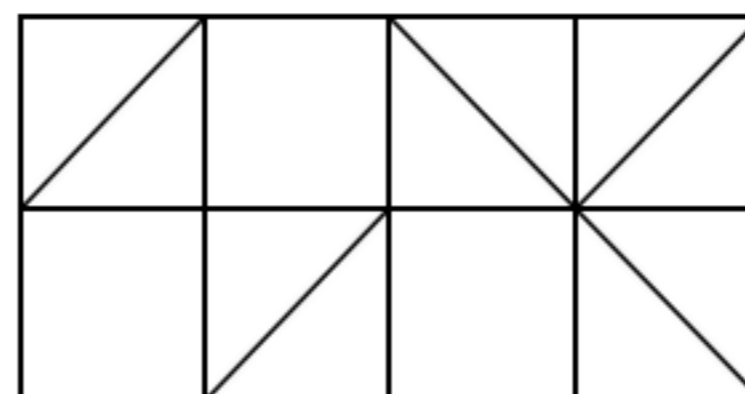


# Geometric gridings

Let  $M$  be a  $t \times u$   $0/\pm 1$  matrix. To construct the **standard figure** of  $M$ , create a  $t \times u$  rectangular grid with cells  $C_{k,\ell}$  and then:

- If  $M_{k,\ell} = 1$ , draw the SW-NE diagonal in  $C_{k,\ell}$ .
- If  $M_{k,\ell} = -1$ , draw the NW-SE diagonal in  $C_{k,\ell}$ .
- If  $M_{k,\ell} = 0$ , leave  $C_{k,\ell}$  empty.

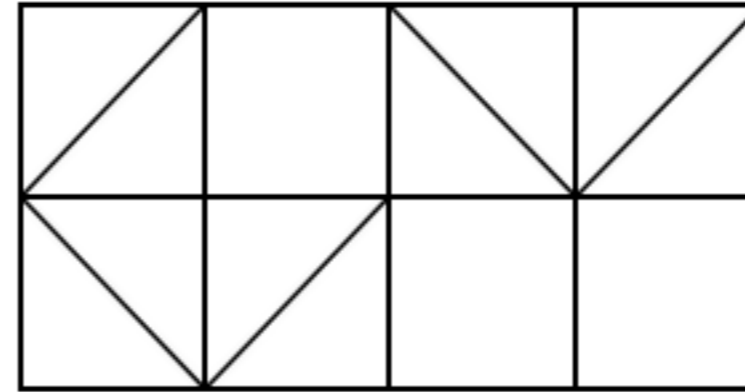
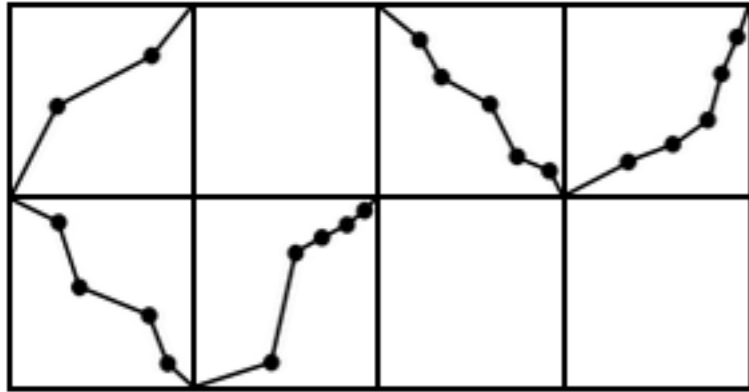
1	0	-1	1
0	1	0	-1



The **geometric grid class** of  $M$ , denoted  $\text{Geom}(M)$ , is the set of permutations that can be "drawn" on this figure.

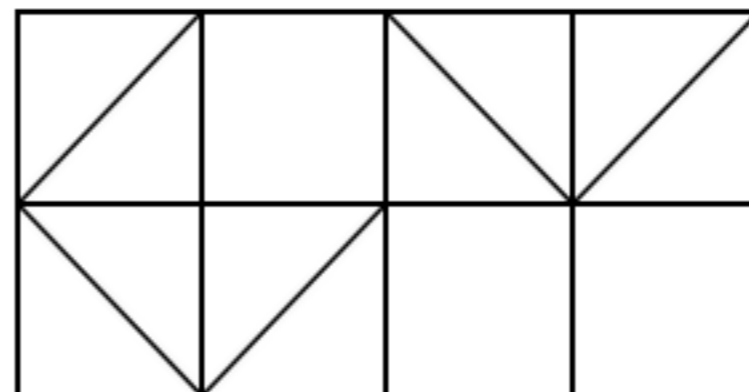
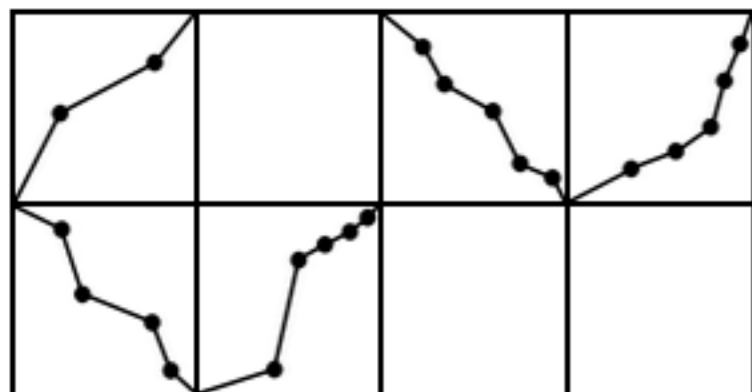
# "Straightening"

Sometimes we can "straighten" all of the elements of a monotone grid class. In other words, sometimes  $\text{Grid}(M) = \text{Geom}(M)$ .

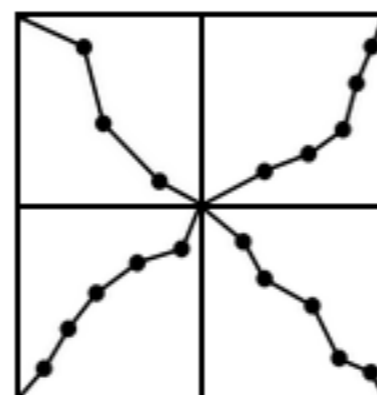
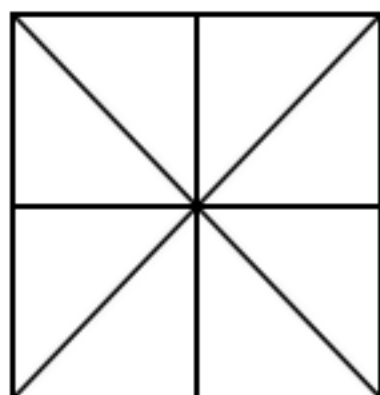


# "Straightening"

Sometimes we can "straighten" all of the elements of a monotone grid class. In other words, sometimes  $\text{Grid}(M) = \text{Geom}(M)$ .

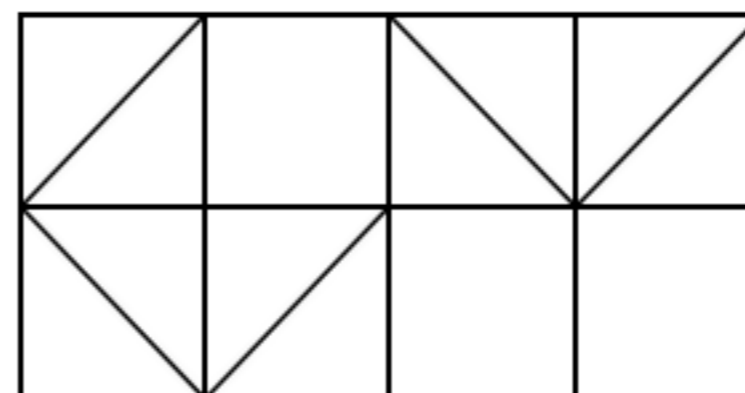
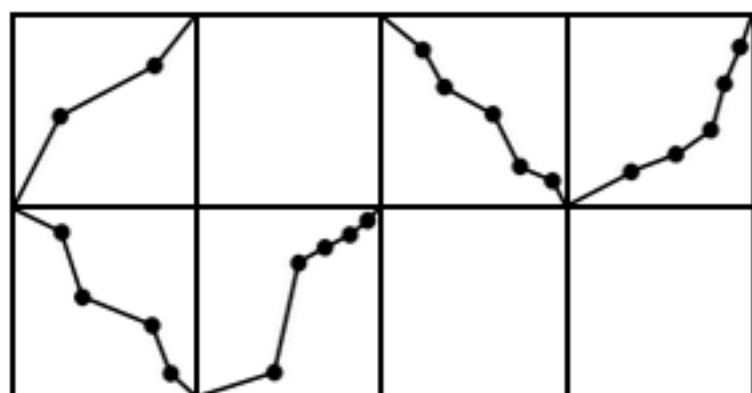


← But sometimes we can't; the permutations that can be drawn on an  $X$  are a proper subclass of the skew-merged permutations. →

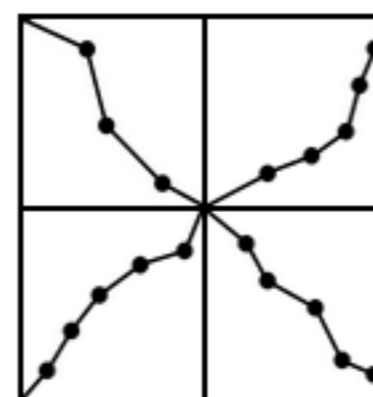
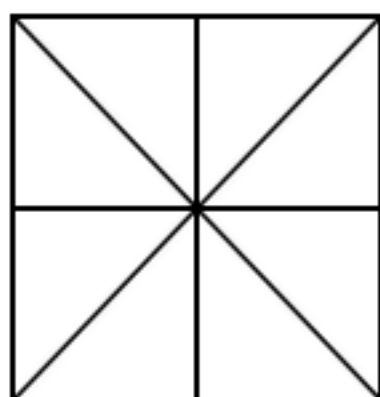


# "Straightening"

Sometimes we can "straighten" all of the elements of a monotone grid class. In other words, sometimes  $\text{Grid}(M) = \text{Geom}(M)$ .



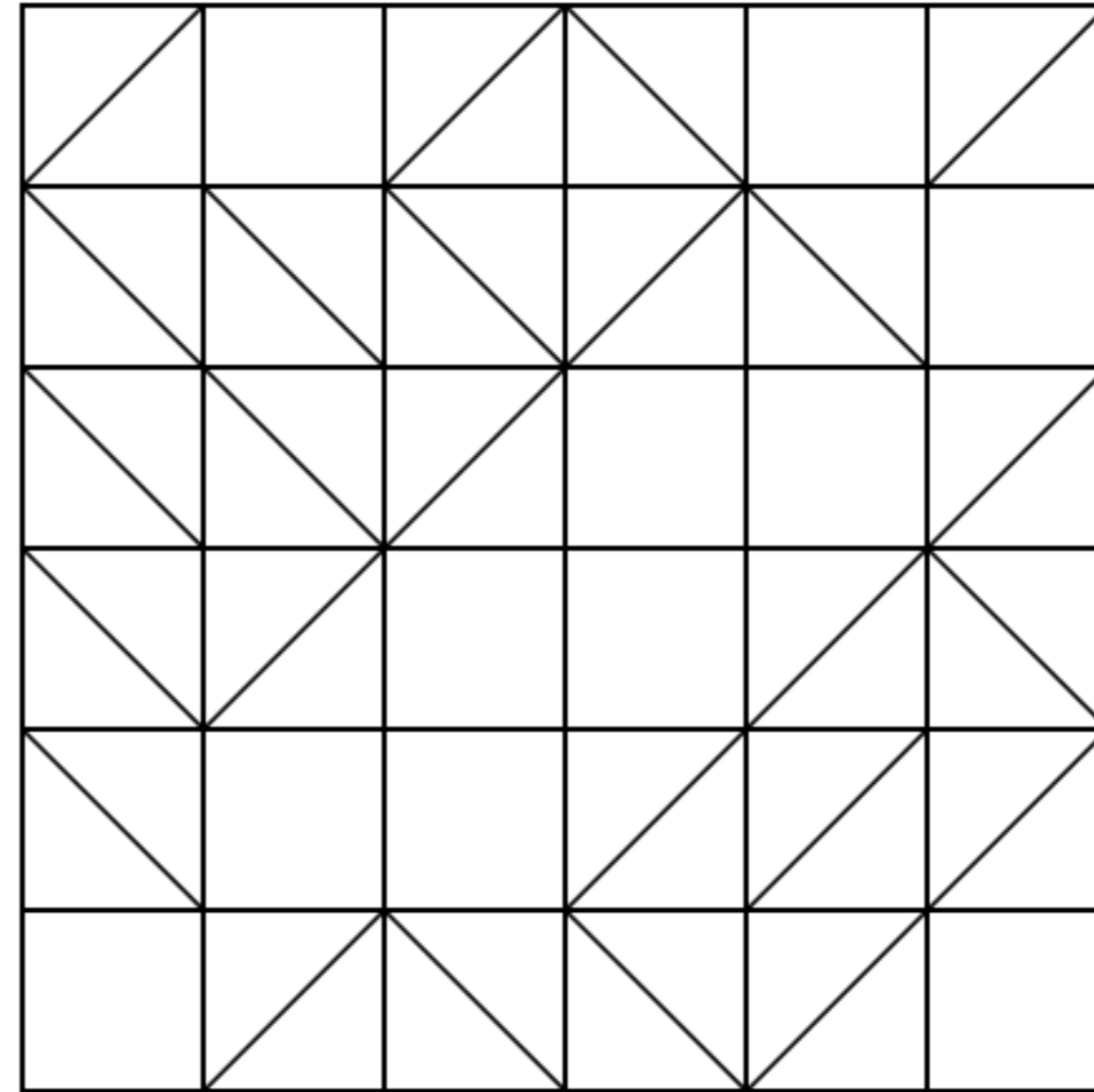
But sometimes we can't; the permutations that can be drawn on an  $X$  are a proper subclass of the skew-merged permutations.



Whether we can straighten a monotone grid class depends on whether it contains **cycles**.

# Geometric griddings

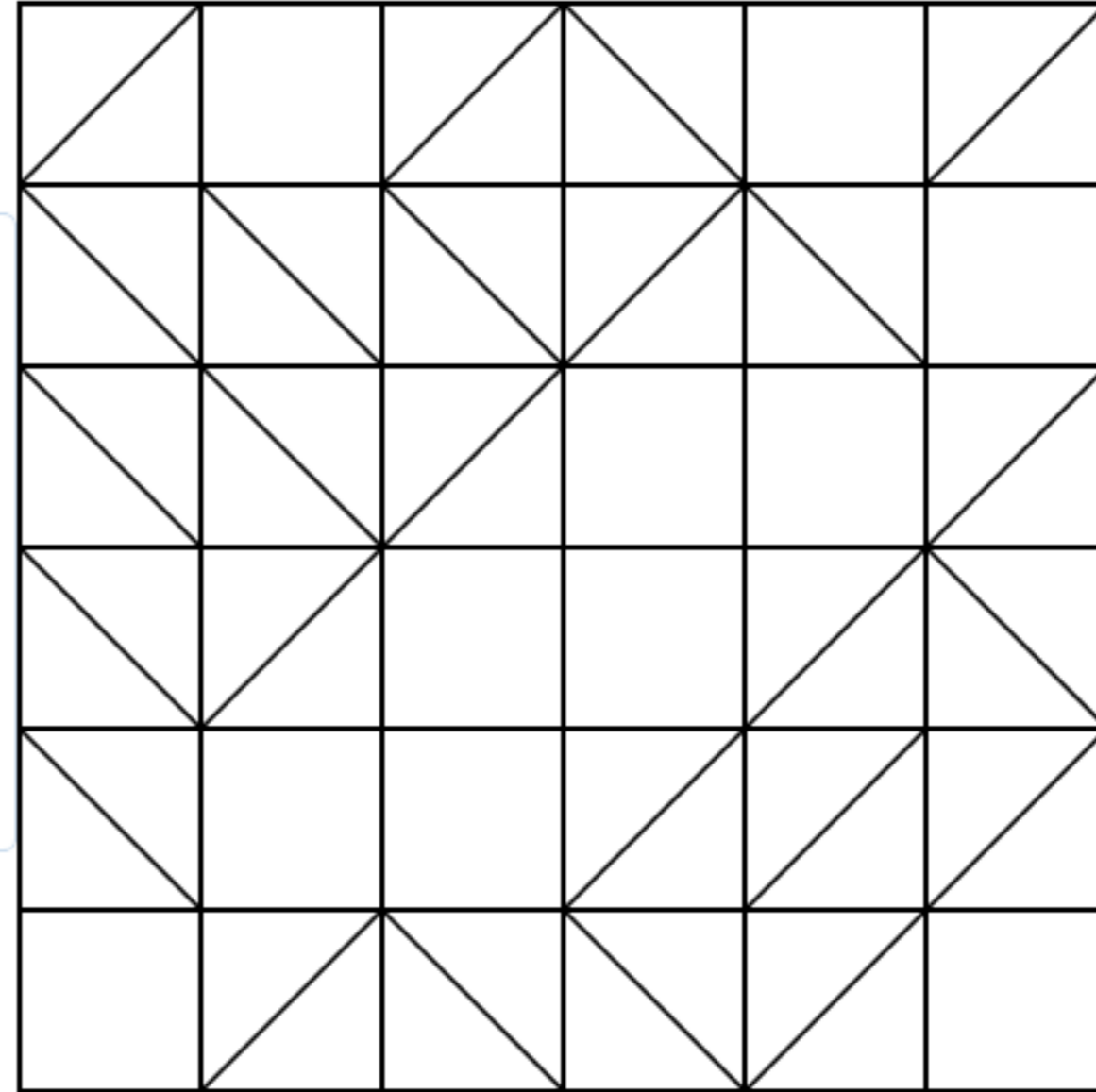
We can encode the elements of any geometric grid class with words from a regular language.



# Geometric griddings

We can encode the elements of any geometric grid class with words from a regular language.

“Words are good —  
Nik Ruškuc  
PP2010



# Enumeration

Let  $\mathcal{C}$  be a permutation class.

- $\mathcal{C}$  is **strongly rational** if every subclass  $\mathcal{D} \subseteq \mathcal{C}$  has a rational generating function.
- $\mathcal{C}$  is **strongly algebraic** if every subclass  $\mathcal{D} \subseteq \mathcal{C}$  has an algebraic generating function.

# Enumeration

Let  $\mathcal{C}$  be a permutation class.

- $\mathcal{C}$  is **strongly rational** if every subclass  $\mathcal{D} \subseteq \mathcal{C}$  has a rational generating function.
- $\mathcal{C}$  is **strongly algebraic** if every subclass  $\mathcal{D} \subseteq \mathcal{C}$  has an algebraic generating function.

I. **Theorem (AABRV 2012+).**  $\text{Geom}(M)$  is strongly rational.

# Enumeration

Let  $\mathcal{C}$  be a permutation class.

- $\mathcal{C}$  is **strongly rational** if every subclass  $\mathcal{D} \subseteq \mathcal{C}$  has a rational generating function.
  - $\mathcal{C}$  is **strongly algebraic** if every subclass  $\mathcal{D} \subseteq \mathcal{C}$  has an algebraic generating function.
1. **Theorem (AABRV 2012+).**  $\text{Geom}(M)$  is strongly rational.
  2. **Theorem (ARV 2012+).**  $\langle \text{Geom}(M) \rangle$  is strongly algebraic.

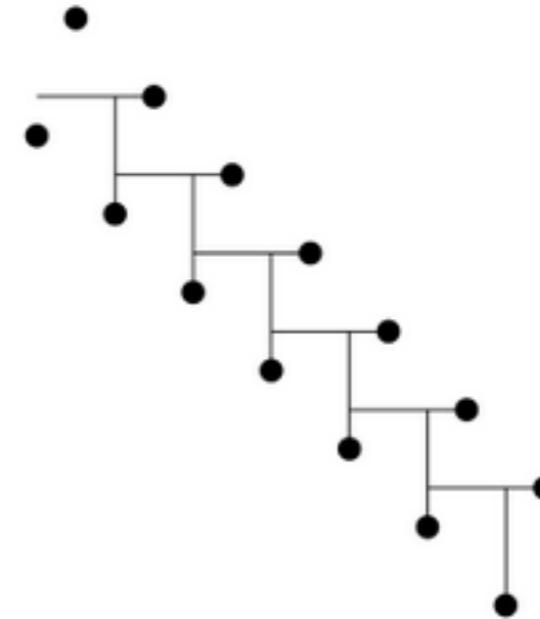
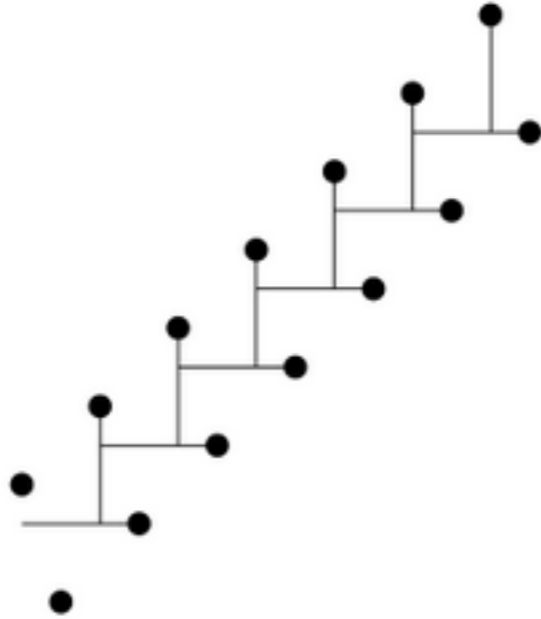
# Enumeration

Let  $\mathcal{C}$  be a permutation class.

- $\mathcal{C}$  is **strongly rational** if every subclass  $\mathcal{D} \subseteq \mathcal{C}$  has a rational generating function.
  - $\mathcal{C}$  is **strongly algebraic** if every subclass  $\mathcal{D} \subseteq \mathcal{C}$  has an algebraic generating function.
1. **Theorem (AABRV 2012+).**  $\text{Geom}(M)$  is strongly rational.
  2. **Theorem (ARV 2012+).**  $\langle \text{Geom}(M) \rangle$  is strongly algebraic.
  3. **Theorem (ARV 2012+).**  $\text{Geom}(M)[\mathcal{U}]$  is strongly rational whenever  $\mathcal{U}$  is strongly rational.

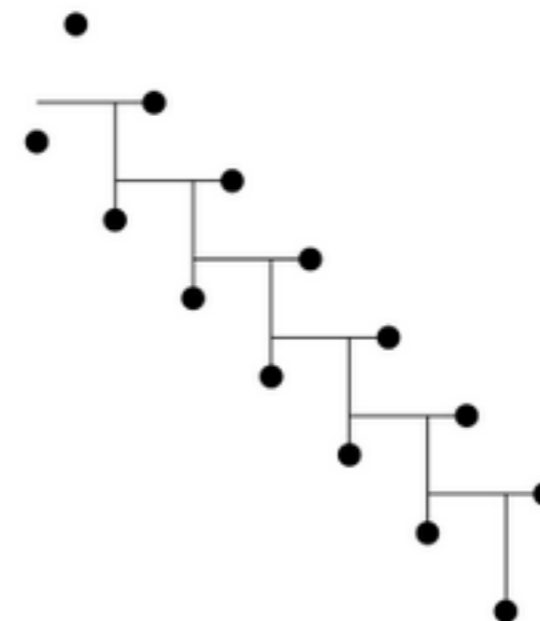
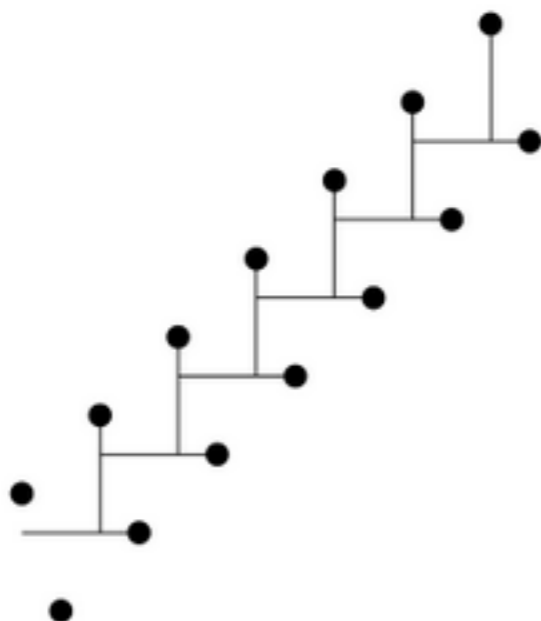
# Oscillations

The story of small permutation classes revolves around the **oscillations**.



# Oscillations

The story of small permutation classes revolves around the **oscillations**.

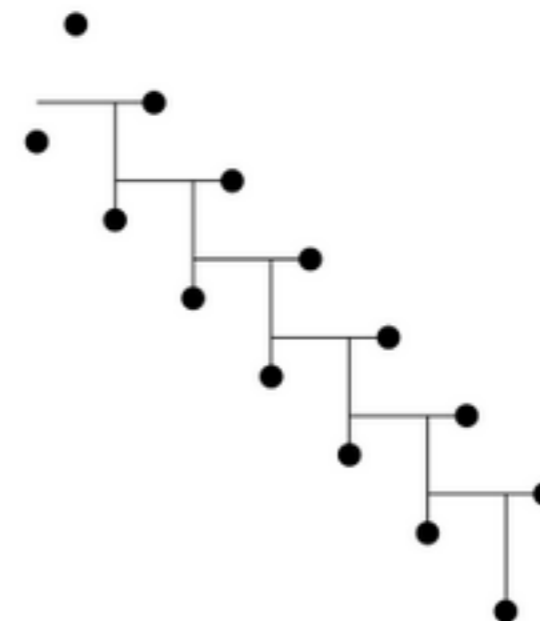
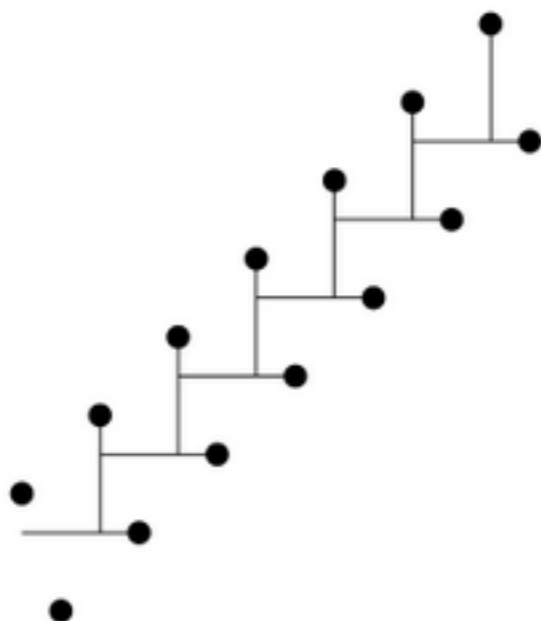


Let  $\mathcal{O}$  denote the class of all oscillations, and all permutations contained in oscillations.

It can be computed that  $\text{gr}(\mathcal{O}) = \kappa \approx 2.21$ .

# Oscillations

The story of small permutation classes revolves around the **oscillations**.



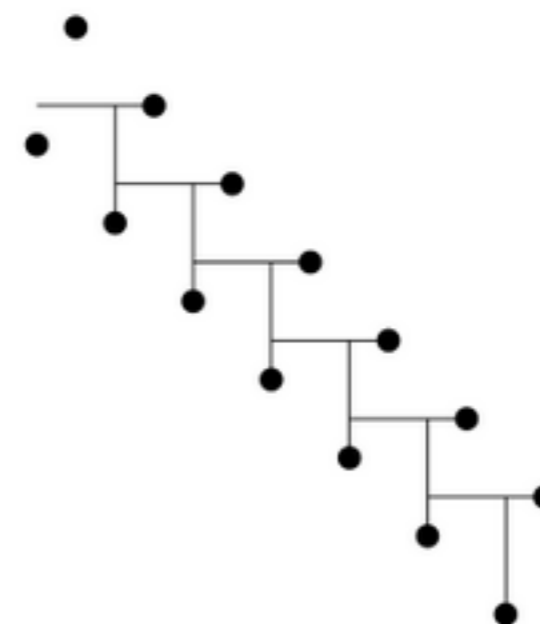
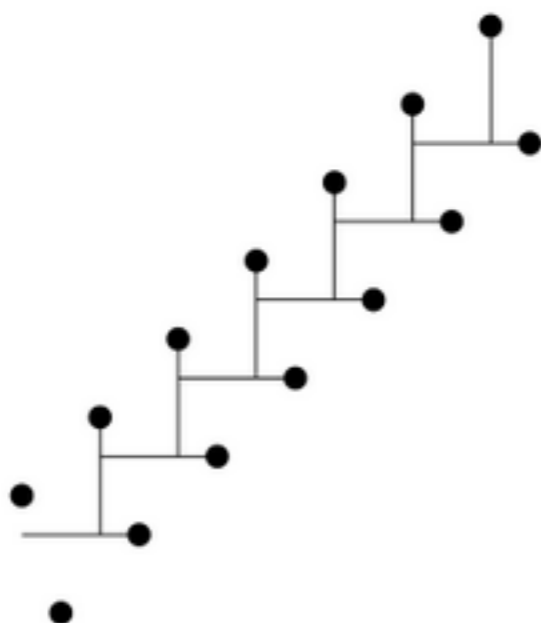
Let  $\mathcal{O}$  denote the class of all oscillations, and all permutations contained in oscillations.

It can be computed that  $\text{gr}(\mathcal{O}) = \kappa \approx 2.21$ .

The basis of  $\langle \mathcal{O} \rangle$  can be shown to consist of 25314, 41352, 246153, 251364, 314625, 351624, 415263, and every symmetry of one of these permutations.

# Oscillations

The story of small permutation classes revolves around the **oscillations**.



Let  $\mathcal{O}$  denote the class of all oscillations, and all permutations contained in oscillations.

It can be computed that  $\text{gr}(\mathcal{O}) = \kappa \approx 2.21$ .

The basis of  $\langle \mathcal{O} \rangle$  can be shown to consist of 25314, 41352, 246153, 251364, 314625, 351624, 415263, and every symmetry of one of these permutations.

From this, it can be computed that every class with  $\text{gr} < 2.24$  is  $\langle \mathcal{O} \rangle$ -griddable.

# Gridding small classes

$\langle \emptyset \rangle$	$\langle \emptyset \rangle$	$\langle \emptyset \rangle$	$\langle \emptyset \rangle$	$\langle \emptyset \rangle$	$\langle \emptyset \rangle$
$\langle \emptyset \rangle$	$\langle \emptyset \rangle$	$\langle \emptyset \rangle$	$\langle \emptyset \rangle$	$\langle \emptyset \rangle$	$\langle \emptyset \rangle$
$\langle \emptyset \rangle$	$\langle \emptyset \rangle$	$\langle \emptyset \rangle$	$\langle \emptyset \rangle$	$\langle \emptyset \rangle$	$\langle \emptyset \rangle$
$\langle \emptyset \rangle$	$\langle \emptyset \rangle$	$\langle \emptyset \rangle$	$\langle \emptyset \rangle$	$\langle \emptyset \rangle$	$\langle \emptyset \rangle$
$\langle \emptyset \rangle$	$\langle \emptyset \rangle$	$\langle \emptyset \rangle$	$\langle \emptyset \rangle$	$\langle \emptyset \rangle$	$\langle \emptyset \rangle$
$\langle \emptyset \rangle$	$\langle \emptyset \rangle$	$\langle \emptyset \rangle$	$\langle \emptyset \rangle$	$\langle \emptyset \rangle$	$\langle \emptyset \rangle$

# Gridding small classes

- $\text{gr}(\mathcal{O}) = \kappa$ , so a small class cannot contain all of  $\mathcal{O}$ .

$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$
$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$
$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$
$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$
$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$
$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$

# Gridding small classes

- $\text{gr}(\mathcal{O}) = \kappa$ , so a small class cannot contain all of  $\mathcal{O}$ .
- Because of the structure of  $\mathcal{O}$ , this implies that there is a bound, say  $k$ , on the length of an oscillation in any small class. Define  

$$\mathcal{O}_k = \{\text{oscillations of length at most } k\}$$

$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$
$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$
$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$
$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$
$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$
$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$

# Gridding small classes

- $\text{gr}(\mathcal{O}) = \kappa$ , so a small class cannot contain all of  $\mathcal{O}$ .
- Because of the structure of  $\mathcal{O}$ , this implies that there is a bound, say  $k$ , on the length of an oscillation in any small class. Define  

$$\mathcal{O}_k = \{\text{oscillations of length at most } k\}$$
- Every small class is  $\langle \mathcal{O}_k \rangle$ -griddable for some  $k$ .

$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$
$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$
$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$
$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$
$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$
$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$	$\langle \mathcal{O} \rangle$

# Gridding small classes

$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$
$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$
$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$
$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$
$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$
$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$

# Gridding small classes

- "Deep" inflations make for large permutation classes, so there must be an absolute bound on the "substitution depth" of permutations in a small class.

$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$
$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$
$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$
$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$
$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$
$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$

# Gridding small classes

- "Deep" inflations make for large permutation classes, so there must be an absolute bound on the "substitution depth" of permutations in a small class.

- Define

$$\tilde{\mathcal{O}}_k = \mathcal{O}_k \cup \text{Av}(12) \cup \text{Av}(21).$$

$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$
$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$
$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$
$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$
$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$
$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$

# Gridding small classes

- "Deep" inflations make for large permutation classes, so there must be an absolute bound on the "substitution depth" of permutations in a small class.

- Define

$$\tilde{\mathcal{O}}_k = \mathcal{O}_k \cup \text{Av}(12) \cup \text{Av}(21).$$

- Every small class is  $\tilde{\mathcal{O}}_k^{[d]}$ -griddable for some  $d$ .

$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$
$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$
$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$
$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$
$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$
$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$	$\langle \mathcal{O}_k \rangle$

# Gridding small classes

$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$
$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$
$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$
$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$
$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$
$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$

(Grid may have been enlarged.)

# Gridding small classes

- Now that the cells have finitely many simple permutations and bounded substitution depth, it is possible to "slice" the gridding. In particular, we can "slice" the griddings of small classes.

$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$
$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$
$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$
$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$
$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$
$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$

(Grid may have been enlarged.)

# Gridding small classes

- Now that the cells have finitely many simple permutations and bounded substitution depth, it is possible to "slice" the gridding. In particular, we can "slice" the griddings of small classes.
- If three cells in the same row or column were to have "unbounded alternations", it would force the growth rate above 3.

$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$
$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$
$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$
$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$
$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$
$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$

(Grid may have been enlarged.)

# Gridding small classes

- Now that the cells have finitely many simple permutations and bounded substitution depth, it is possible to "slice" the gridding. In particular, we can "slice" the griddings of small classes.
- If three cells in the same row or column were to have "unbounded alternations", it would force the growth rate above 3.
- If three cells in a "hook shape" were to have "unbounded alternations", it would force the growth rate above  $1 + \phi \approx 2.62$ .

$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$
$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$
$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$
$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$
$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$
$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$

(Grid may have been enlarged.)

# Gridding small classes

- Now that the cells have finitely many simple permutations and bounded substitution depth, it is possible to "slice" the gridding. In particular, we can "slice" the griddings of small classes.
- If three cells in the same row or column were to have "unbounded alternations", it would force the growth rate above 3.
- If three cells in a "hook shape" were to have "unbounded alternations", it would force the growth rate above  $1 + \phi \approx 2.62$ .
- So we have only bounded alternations, and can "slice" them.

$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$
$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$
$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$
$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$
$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$
$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$	$\tilde{\mathcal{O}}_k^{[d]}$

(Grid may have been enlarged.)

# Gridding small classes

	$\tilde{\mathcal{O}}_k^{[d]}$				
		$\tilde{\mathcal{O}}_k^{[d]}$			
	$\tilde{\mathcal{O}}_k^{[d]}$				
					$\tilde{\mathcal{O}}_k^{[d]}$
$\tilde{\mathcal{O}}_k^{[d]}$			$\tilde{\mathcal{O}}_k^{[d]}$		
				$\tilde{\mathcal{O}}_k^{[d]}$	

(Grid may have been enlarged.)

# Gridding small classes

- If a non-monotone cell were to have "unbounded alternations" with another (even monotone cell) in the same row or column, that would force the growth rate above  $1 + \sqrt{2} \approx 2.41$ .

	$\tilde{\mathcal{O}}_k^{[d]}$				
		$\tilde{\mathcal{O}}_k^{[d]}$			
	$\tilde{\mathcal{O}}_k^{[d]}$				
					$\tilde{\mathcal{O}}_k^{[d]}$
$\tilde{\mathcal{O}}_k^{[d]}$			$\tilde{\mathcal{O}}_k^{[d]}$		
				$\tilde{\mathcal{O}}_k^{[d]}$	

(Grid may have been enlarged.)

# Gridding small classes

- If a non-monotone cell were to have "unbounded alternations" with another (even monotone cell) in the same row or column, that would force the growth rate above  $1 + \sqrt{2} \approx 2.41$ .
- So we can slice these bounded alternations, and thereby insist that only monotone cells can share a row or column.

	$\tilde{O}_k^{[d]}$				
		$\tilde{O}_k^{[d]}$			
	$\tilde{O}_k^{[d]}$				
					$\tilde{O}_k^{[d]}$
$\tilde{O}_k^{[d]}$			$\tilde{O}_k^{[d]}$		
				$\tilde{O}_k^{[d]}$	

(Grid may have been enlarged.)

# Gridding small classes

**Theorem (V 2011).** Every small permutation class is  $M$ -griddable for a matrix  $M$  in which:

1. every entry is  $\tilde{\mathcal{O}}_k^{[d]}$ ,  $\text{Av}(21)$ ,  $\text{Av}(12)$ , or the empty set;
2. every entry equal to  $\tilde{\mathcal{O}}_k^{[d]}$  is the unique nonempty entry in its row and column; and
3. if two nonempty entries share a row or a column with each other then neither shares a row or column with any other nonempty entry.

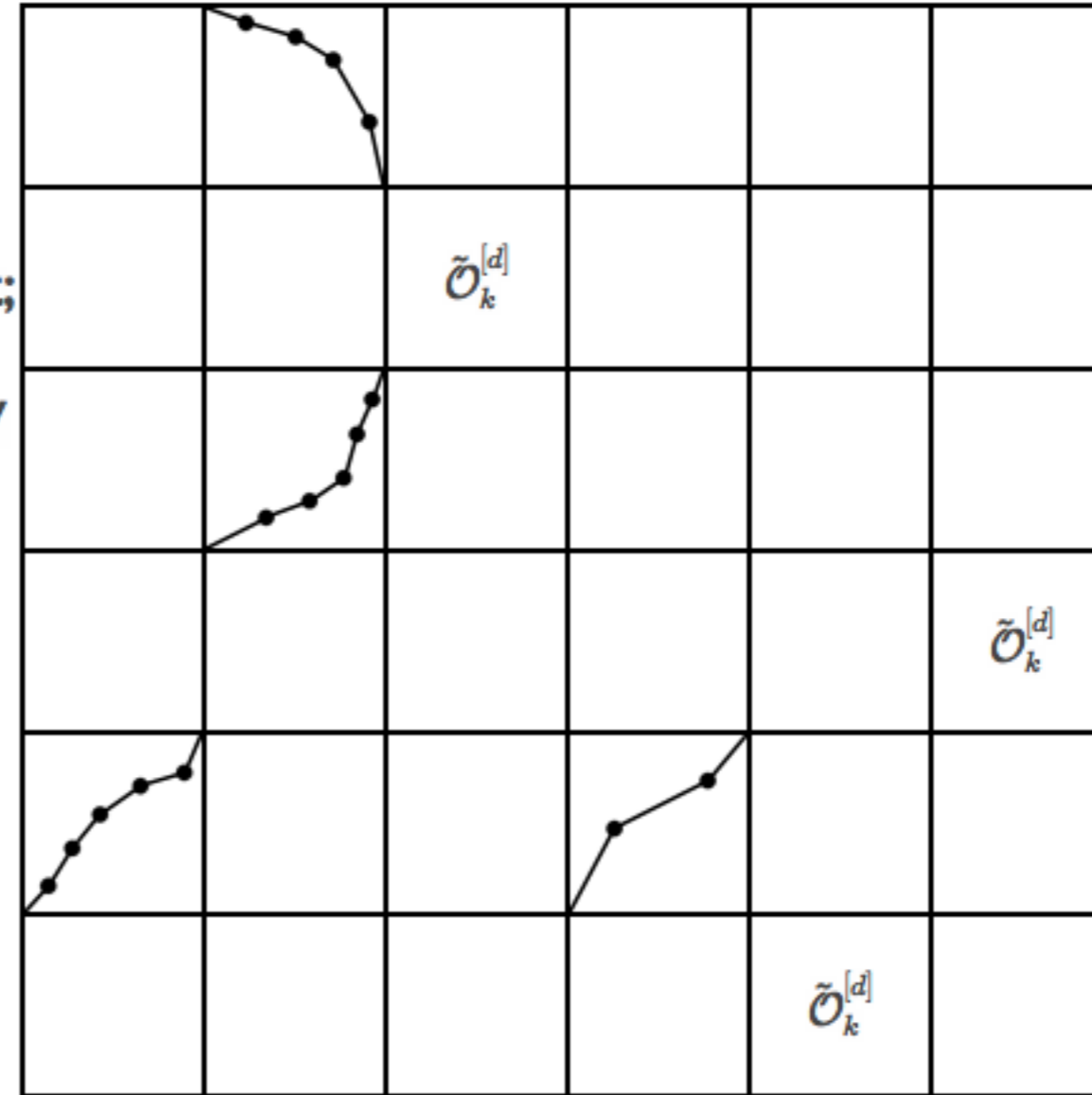
	$\text{Av}(12)$				
		$\tilde{\mathcal{O}}_k^{[d]}$			
	$\text{Av}(21)$				
					$\tilde{\mathcal{O}}_k^{[d]}$
$\text{Av}(21)$			$\text{Av}(21)$		
				$\tilde{\mathcal{O}}_k^{[d]}$	

(Grid may have been enlarged.)

# Counting small classes

**Theorem (V 2011).** Every small permutation class is  $M$ -griddable for a matrix  $M$  in which:

1. every entry is  $\tilde{\mathcal{O}}_k^{[d]}$ ,  $\text{Av}(21)$ ,  $\text{Av}(12)$ , or the empty set;
2. every entry equal to  $\tilde{\mathcal{O}}_k^{[d]}$  is the unique nonempty entry in its row and column; and
3. if two nonempty entries share a row or a column with each other then neither shares a row or column with any other nonempty entry.



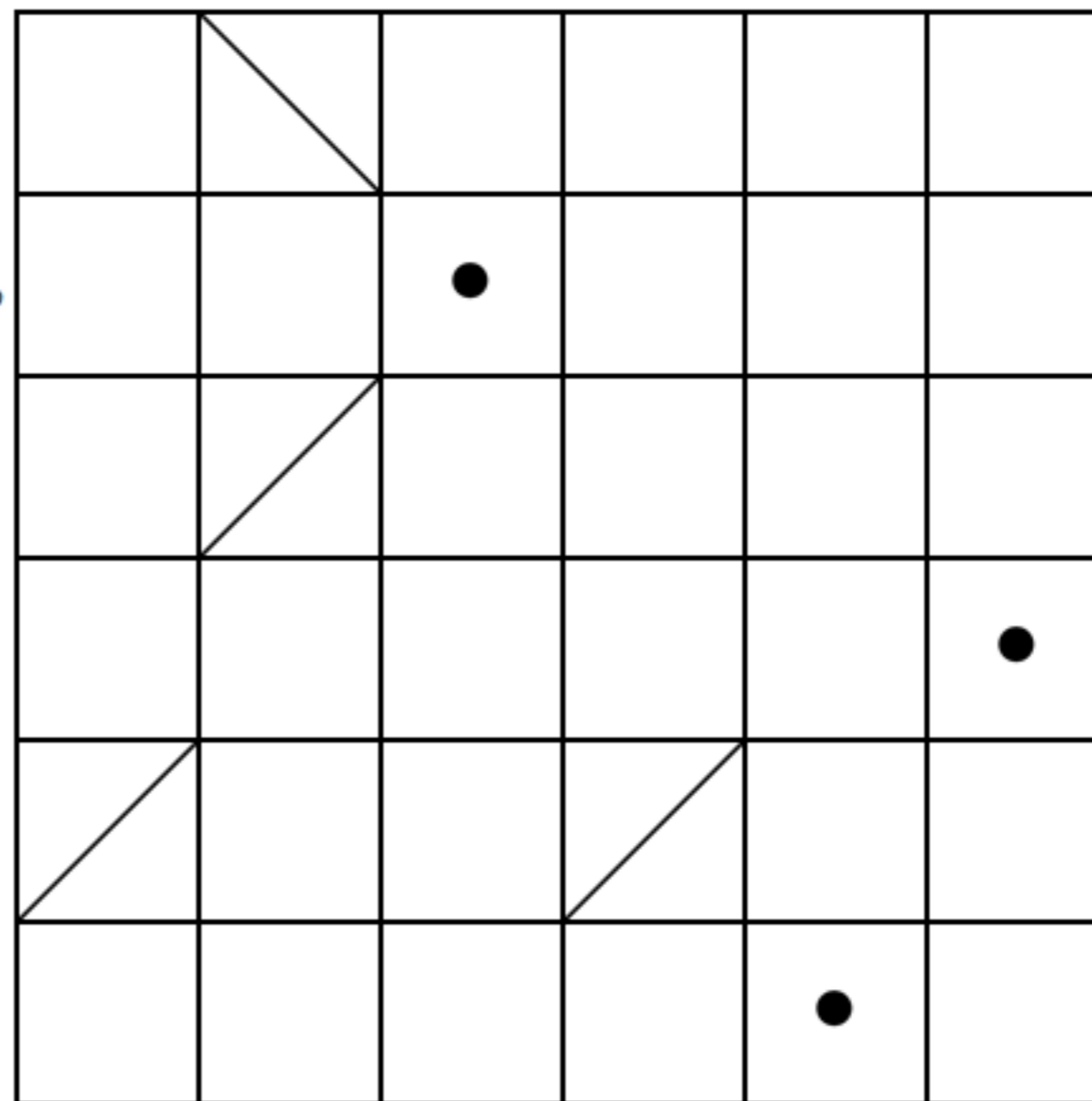
# Counting small classes

Now we're looking at the inflation of a geometric grid class by  $\tilde{\mathcal{O}}_k^{[d]}$ .

$\tilde{\mathcal{O}}_k$  contains only finitely many non-monotone permutations, so it is geometrically griddable itself.

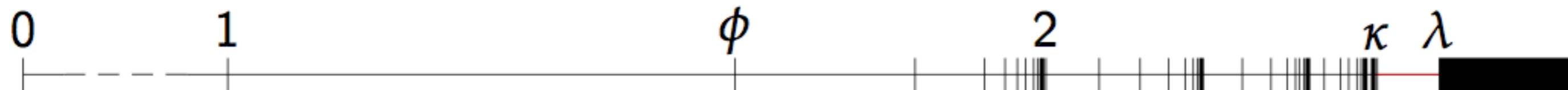
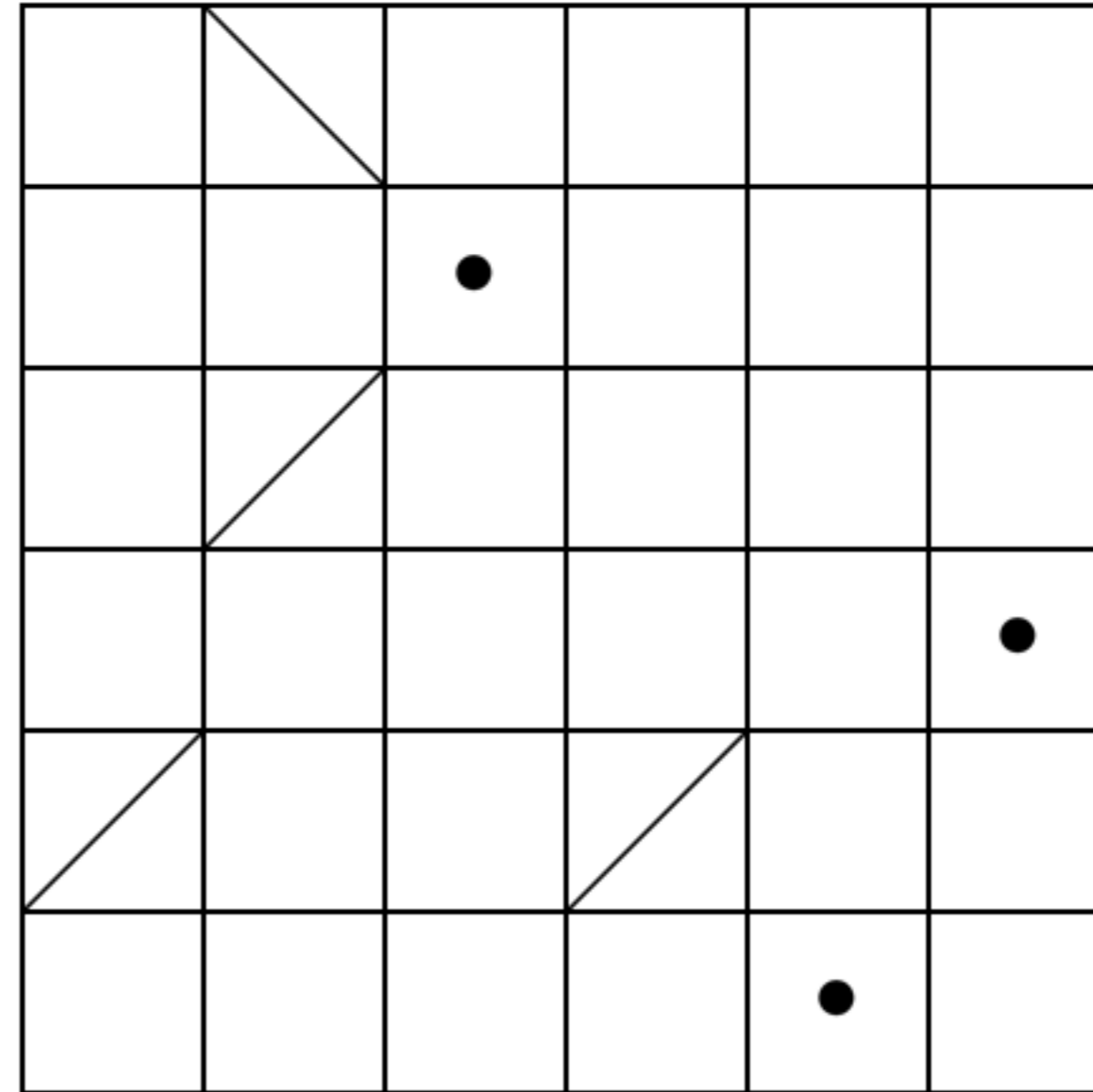
Therefore:

- $\tilde{\mathcal{O}}_k$  is strongly rational,
- $\tilde{\mathcal{O}}_k^{[2]} = \tilde{\mathcal{O}}_k[\tilde{\mathcal{O}}_k]$  is strongly rational, ...
- $\tilde{\mathcal{O}}_k^{[d]} = \tilde{\mathcal{O}}_k[\tilde{\mathcal{O}}_k^{[d-1]}]$  is strongly rational, so
- $\text{Geom}(M)[\tilde{\mathcal{O}}_k^{[d]}]$  is strongly rational.



# Counting small classes

**Theorem (ARV 2012+).** If  $\text{gr}(\mathcal{C}) < \kappa \approx 2.21$ , then  $\mathcal{C}$  has a rational generating function.



# Other applications

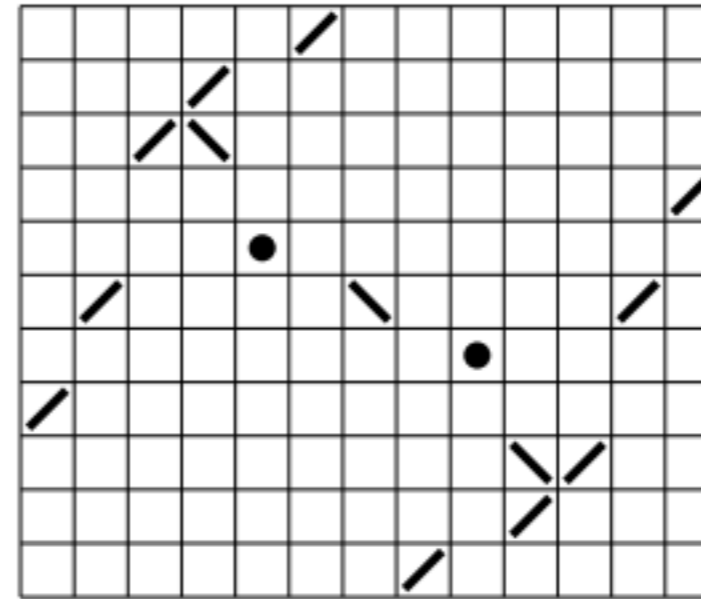
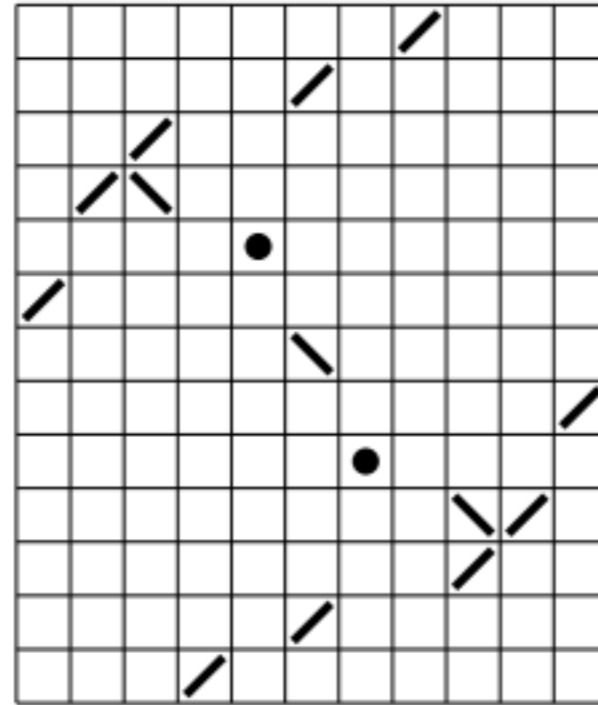
## Classes avoiding two patterns of length 4

There are 56 symmetry classes and 38 Wilf equivalence classes, of which 18 have been enumerated.

B	sequence enumerating $Av_n(B)$	OEIS	type of sequence	exact enumeration reference
4321, 1234	1, 2, 6, 22, 86, 306, 882, 1764, ...	n/a	finite	<a href="#">Erdős–Szekeres theorem</a>
4312, 1234	1, 2, 6, 22, 86, 321, 1085, 3266, ...	<a href="#">A116705</a>	polynomial	<a href="#">Kremer &amp; Shiu (2003)</a>
4321, 3124	1, 2, 6, 22, 86, 330, 1198, 4087, ...	<a href="#">A116708</a>	rational <a href="#">g.f.</a>	<a href="#">Kremer &amp; Shiu (2003)</a>
4312, 2134	1, 2, 6, 22, 86, 330, 1206, 4174, ...	<a href="#">A116706</a>	rational <a href="#">g.f.</a>	<a href="#">Kremer &amp; Shiu (2003)</a>
4321, 1324	1, 2, 6, 22, 86, 332, 1217, 4140, ...	<a href="#">A165524</a>	polynomial	<a href="#">Vatter (2012)</a>
4321, 2143	1, 2, 6, 22, 86, 333, 1235, 4339, ...	<a href="#">A165525</a>		
4312, 1324	1, 2, 6, 22, 86, 335, 1266, 4598, ...	<a href="#">A165526</a>		
4231, 2143	1, 2, 6, 22, 86, 335, 1271, 4680, ...	<a href="#">A165527</a>	rational <a href="#">g.f.</a>	<a href="#">Albert, Atkinson &amp; Brignall (2011)</a>
4231, 1324	1, 2, 6, 22, 86, 336, 1282, 4758, ...	<a href="#">A165528</a>	rational <a href="#">g.f.</a>	<a href="#">Albert, Atkinson &amp; Vatter (2009)</a>
4213, 2341	1, 2, 6, 22, 86, 336, 1290, 4870, ...	<a href="#">A116709</a>	rational <a href="#">g.f.</a>	<a href="#">Kremer &amp; Shiu (2003)</a>
4312, 2143	1, 2, 6, 22, 86, 337, 1295, 4854, ...	<a href="#">A165529</a>		
4213, 1243	1, 2, 6, 22, 86, 337, 1299, 4910, ...	<a href="#">A116710</a>	rational <a href="#">g.f.</a>	<a href="#">Kremer &amp; Shiu (2003)</a>

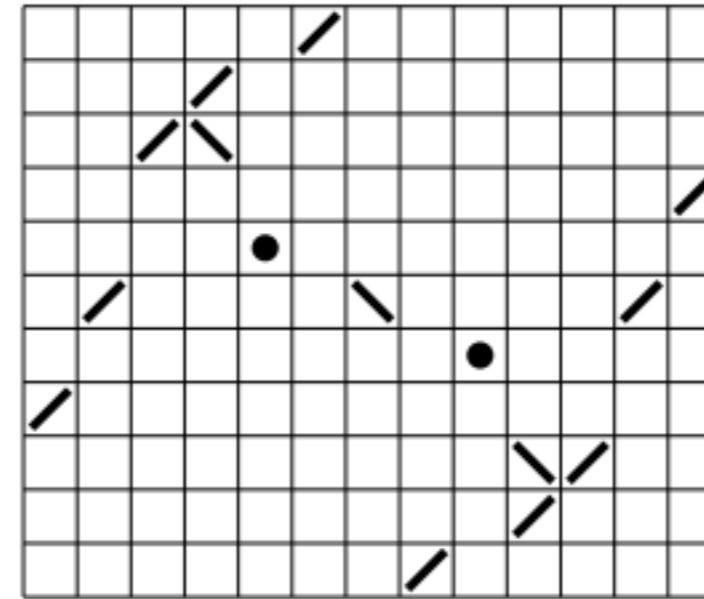
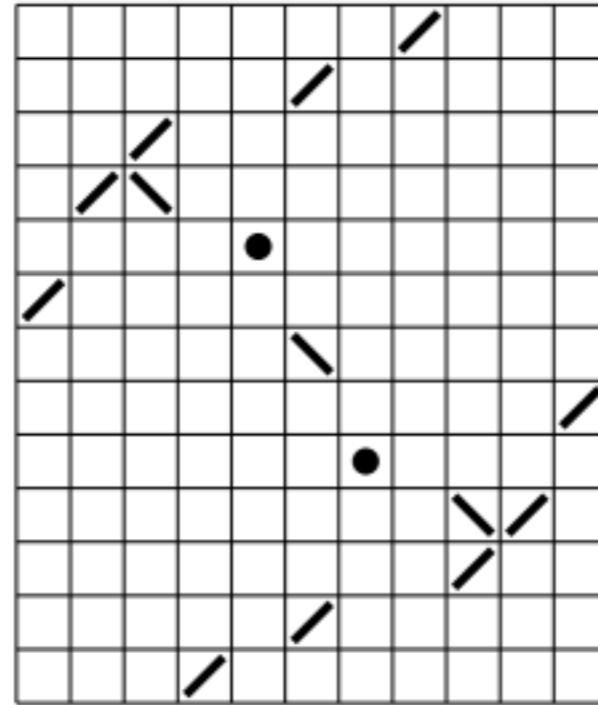
# Other applications

**Theorem (Albert, Atkinson, and Brignall 2011).** The class  $\text{Av}(2143, 4231)$  is the union of two geometric grid classes:



# Other applications

**Theorem (Albert, Atkinson, and Brignall 2011).** The class  $\text{Av}(2143, 4231)$  is the union of two geometric grid classes:

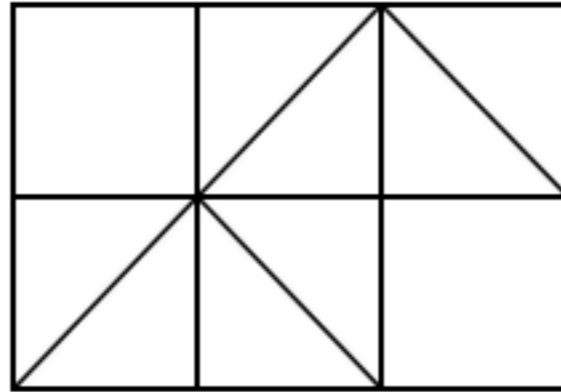


The generating function of this class is

$$\frac{x - 11x^2 + 51x^3 - 126x^4 + 186x^5 - 165x^6 + 87x^7 - 23x^8 + 3x^9}{(1 - 3x)(1 - x)^4(1 - 3x + x^2)^2}.$$

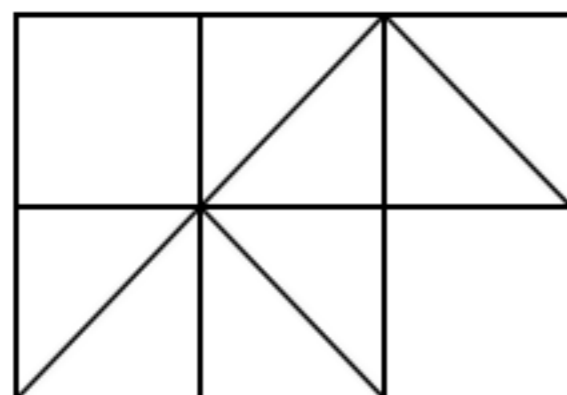
# Other applications

**Theorem (Albert, Atkinson, and V 2012+).** The class  $\text{Av}(4231, 3124)$  is contained in the substitution completion of the geometric grid class



# Other applications

**Theorem (Albert, Atkinson, and V 2012+).** The class  $Av(4231, 3124)$  is contained in the substitution completion of the geometric grid class

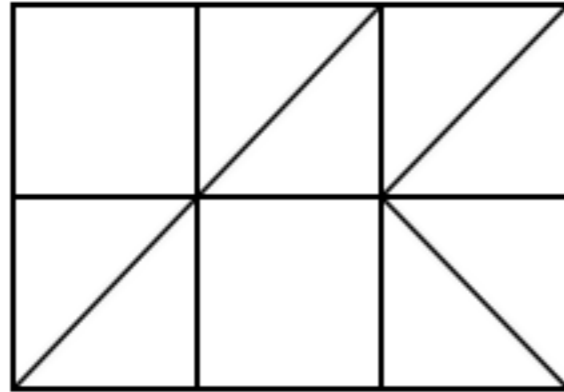


← Its generating function is

$$\frac{1 - 8x + 20x^2 - 20x^3 + 10x^4 - 2x^5 - \sqrt{1 - 12x + 52x^2 - 96x^3 + 68x^4 - 16x^5}}{2(1 - 3x + x^2)(-1 + 5x - 4x^2 + x^3)}.$$

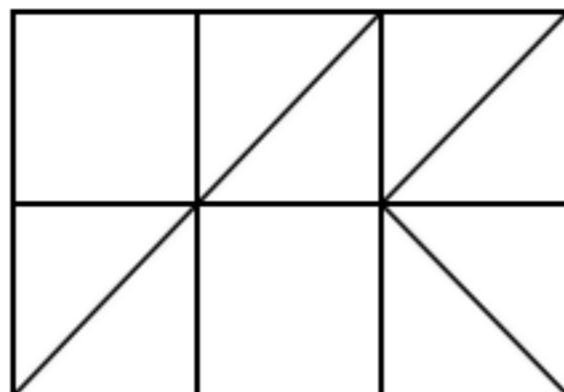
# Other applications

**Theorem (Albert, Atkinson, and V 2012+).** The class  $\text{Av}(4312, 3142)$  is contained in the substitution completion of the geometric grid class



# Other applications

**Theorem (Albert, Atkinson, and V 2012+).** The class  $\text{Av}(4312, 3142)$  is contained in the substitution completion of the geometric grid class

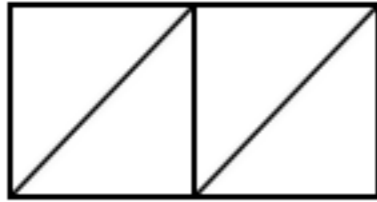


← Its generating function satisfies the algebraic equation

$$\begin{aligned} (x^3 - 2x^2 + x)f^4 &+ (4x^3 - 9x^2 + 6x - 1)f^3 \\ &+ (6x^3 - 12x^2 + 7x - 1)f^2 \\ &+ (4x^3 - 5x^2 + x)f \\ &+ x^3 &= 0. \end{aligned}$$

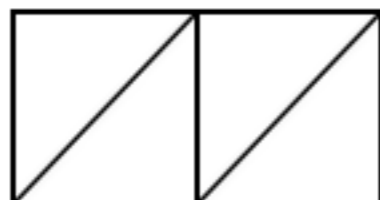
# Other applications

**Theorem (Albert, Atkinson, and V 2012+).** The class  $\text{Av}(4213, 3142)$  is contained in the substitution completion of the geometric grid class



# Other applications

**Theorem (Albert, Atkinson, and V 2012+).** The class  $\text{Av}(4213, 3142)$  is contained in the substitution completion of the geometric grid class



Its generating function satisfies the algebraic equation

$$\begin{aligned}
 x^3 f^6 &+ (7x^3 - 7x^2 + 2x)f^5 \\
 &+ (x^4 + 14x^3 - 21x^2 + 10x - 1)f^4 \\
 &+ (4x^4 + 8x^3 - 19x^2 + 11x - 2)f^3 \\
 &+ (6x^4 - 5x^3 - 2x^2 + 2x)f^2 \\
 &+ (4x^4 - 7x^3 + 4x^2 - x)f \\
 &+ x^4 - 2x^3 + x^2 = 0.
 \end{aligned}$$

# The future



# The future

## For PP2013?

**Conjecture (Albert and Atkinson 2005).** Every proper, finitely based, subclass of  $\text{Av}(321)$  has a rational generating function.

# The future

## For PP2013?

**Conjecture (Albert and Atkinson 2005).** Every proper, finitely based, subclass of  $\text{Av}(321)$  has a rational generating function.

## For PP2020?

Fix the gap in the number line...



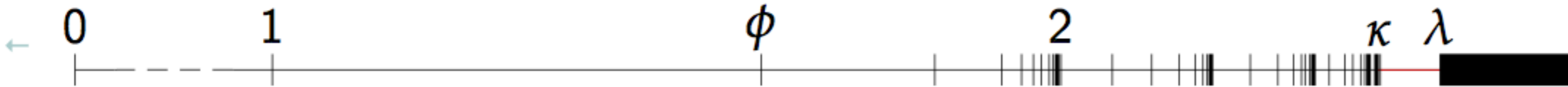
# The future

## For PP2013?

**Conjecture (Albert and Atkinson 2005).** Every proper, finitely based, subclass of  $\text{Av}(321)$  has a rational generating function.

## For PP2020?

Fix the gap in the number line...



**Thank you!**