Some Adin-Roichman-Mansour type identities

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Outline of this talk

- Identities of Ardin-Roichman & Mansour
- Some ARM identities
 - $\cdot \operatorname{Alt}_n(321)$
 - $\cdot \operatorname{Bax}_n(123)$
 - $\cdot \mathbf{DS}_n(312)$
- Idea of proof
- Problems & Discussions

• Part of these works are joint with Fu, Pan, Yan.

Part 1

Adin-Roichman-Mansour type identities

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Pattern avoiding permutations

• π is σ -avoiding:= (we know that)...

25134 is 321-avoiding.

· Let $\mathfrak{S}_n(321)$ be the 321-avoiding permutations in \mathfrak{S}_n .

Theorem [Simion, Schmidt, 1985]

$$\sum_{\pi \in \mathfrak{S}_n(321)} 1 = c_n,$$

where $c_n = \frac{1}{n+1} \binom{2n}{n}$ is the Catalan number.

Sign-balance

• Amazingly, the signed counting is also a Catalan numbers. **Theorem** [Simion, Schmidt, 1985]

$$\sum_{\pi \in \mathfrak{S}_n(321)} (-1)^{\operatorname{inv}(\pi)} = \begin{cases} c_{\frac{n-1}{2}}, \\ 0, \end{cases}$$

i.e.,

Theorem [Simion, Schmidt, 1985]

$$\begin{cases} \sum_{\pi \in \mathfrak{S}_{2n+1}(321)} (-1)^{\operatorname{inv}(\pi)} &= \sum_{\pi \in \mathfrak{S}_n(321)} 1.\\ \sum_{\pi \in \mathfrak{S}_{2n}(321)} (-1)^{\operatorname{inv}(\pi)} &= 0. \end{cases}$$

Adin-Roichman identities

• In 2004, Adin and Roichman gave a refinement.

$$\begin{aligned} \operatorname{ldes}(\pi) &:= \operatorname{last descent of } \pi \\ &= \max\{1 \le i \le n - 1 : \pi(i) > \pi(i + 1)\} \\ &\quad (\operatorname{ldes}(\pi) := 0 \text{ if } \pi = \operatorname{id}) \end{aligned}$$

 $\cdot \text{ldes}(216534) = 4.$

Adin-Roichman's identities

Theorem [Adin, Roichman, 2004, SLC]

$$\begin{cases} \sum_{\pi \in \mathfrak{S}_{2n+1}(321)} (-1)^{\mathrm{inv}(\pi)} q^{\mathrm{ldes}(\pi)} &= \sum_{\pi \in \mathfrak{S}_n(321)} q^{2\mathrm{ldes}(\pi)} \quad (n \ge 0). \\ \sum_{\pi \in \mathfrak{S}_{2n}(321)} (-1)^{\mathrm{inv}(\pi)} q^{\mathrm{ldes}\pi} &= (1-q) \sum_{\pi \in \mathfrak{S}_n(321)} q^{2\mathrm{ldes}(\pi)} \quad (n \ge 1). \end{cases}$$

n	1	2	3	4	5
$\sum (-1)^{\mathbf{inv}(\pi)} q^{\mathbf{ldes}(\pi)}$	1	1-q	1	$(1-q)(1+q^2)$	$1 + q^2$
$\sum q^{ ext{ldes}(\pi)}$	1	1+q			

 \cdot When q = 1, they reduces to Simon-Schmidt's identities.

Mansour's identities

At the same time, Mansour consider the $\mathfrak{S}_n(132)$.

find(
$$\pi$$
) := the index of the letter '1' in π
= $\pi^{-1}(1)$

 $\cdot \text{ find}(216534) = 2.$

Theorem [Mansour, 2004, SLC] For $n \ge 1$,

$$\begin{cases} \sum_{\pi \in \mathfrak{S}_{2n+1}(132)} (-1)^{\operatorname{inv}(\pi)} q^{\operatorname{ldes}(\pi)} &= \sum_{\pi \in \mathfrak{S}_{2n+1}(132)} q^{\operatorname{find}(\pi)-1}.\\ \sum_{\pi \in \mathfrak{S}_{2n}(132)} (-1)^{\operatorname{inv}(\pi)} q^{\operatorname{ldes}\pi} &= (1-q) \sum_{\pi \in \mathfrak{S}_n(132)} q^{2(\operatorname{find}(\pi)-1)}. \end{cases}$$

$2n \ {\rm reduced} \ {\rm to} \ n \ {\rm phenomenon}$

• The Adin-Roichman-Mansour identities are essentially

"2n reduces to n phenomena"

· "Signed enumerator on size 2n" = "enumerator on size n".

- "ARM-type identities"
 - bending the ARM folding in half and double in thickness!

• In this talk, we present some new instances.

Part 2

Alternating permutations (321)

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Alternating permutations

•
$$\pi = (\pi_1, \pi_2, ..., \pi_n)$$
 is alternating if
 $\pi_1 > \pi_2 < \pi_3 > \pi_4 < ...$

- Alt_n := set of Alternating permutations of length n. $\cdot \sum_{n\geq 0} |Alt_n| \frac{x^n}{n!} = \tan x + \sec x.$ $\cdot |Alt_n|_{n\geq 0} = 1, 1, 1, 2, 5, 16, 61, 272, 1385, 7936, \dots$
- $\operatorname{Alt}_n(321) := \operatorname{Alt}_n$ and avoiding 321.

Theorem [Deutsch, Reifegerste 2003, Mansour 2003] $|Alt_{2n}(321)| = |Alt_{2n-1}(321)| = \frac{1}{n+1} {2n \choose n}$

- $\cdot |Alt_6(321)| = 5.$ (214365, 215364, 314265, 315264, 415263).
- $|Alt_5(321)| = 5.$ (21435, 21435, 31425, 31524, 41523).

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Signed counting

• Motivation: signed counting of $Alt_{2n}(321)$

• Theorem [Pan, Ting, 2011]

$$\sum_{\substack{\text{Alt}_{4n+2}(321)\\\text{Alt}_{4n+1}(321)}} (-1)^{\text{inv}(\pi)} = (-1)^{n+1} \sum_{\substack{\text{Alt}_{2n}(321)\\\text{Alt}_{2n}(321)}} 1$$

$$\sum_{\substack{\text{Alt}_{4n+1}(321)\\\text{Alt}_{4n-1}(321)}} (-1)^{\text{inv}(\pi)} = 0$$

ARM on Alt_n(321)

- It is so similar to Simion-Schmidt's result.
 Hence, it is natural to seek the ARM identities.
- Theorem [—, 2012]

$$\sum_{Alt_{4n+2}(321)} (-1)^{inv(\pi)} q^{lead(\pi)} = (-1)^{n+1} \sum_{Alt_{2n}(321)} q^{2 \cdot lead(\pi)}$$

$$\sum_{Alt_{4n+1}(321)} (-1)^{inv(\pi)} q^{lead(\pi)} = (-1)^n \sum_{Alt_{2n}(321)} q^{2 \cdot lead(\pi)}$$

$$\sum_{Alt_{4n}(321)} (-1)^{inv(\pi)} q^{lead(\pi)} = (-1)^{n+1} (1-q) \sum_{Alt_{2n}(321)} q^{2(lead(\pi)-1)}$$

$$\sum_{Alt_{4n-1}(321)} (-1)^{inv(\pi)} q^{lead(\pi)} = (-1)^n (1-q) \sum_{Alt_{2n}(321)} q^{2(lead(\pi)-1)}$$

Another

· 'Ending' (end(π) := π_n) also works!

• Theorem [—, 2012]

$$\sum_{Alt_{4n+2}(321)} (-1)^{inv(\pi)} q^{end(\pi)} = (-1)^{n+1} \sum_{Alt_{2n}(321)} q^{2 \cdot end(\pi)}$$

$$\sum_{Alt_{4n+1}(321)} (-1)^{inv(\pi)} q^{end(\pi)} = (-1)^n \sum_{Alt_{2n}(321)} q^{2 \cdot end(\pi)}$$

$$\sum_{Alt_{4n}(321)} (-1)^{inv(\pi)} q^{end(\pi)} = (-1)^n (1-q) \sum_{Alt_{2n}(321)} q^{2(end(\pi)-1)}$$

$$\sum_{Alt_{4n-1}(321)} (-1)^{inv(\pi)} q^{end(\pi)} = (-1)^{n+1} (1-q) \sum_{Alt_{2n}(321)} q^{2(end(\pi)-1)}$$

Part 3

Baxter permutations (321)

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Baxter permutations

- $\pi \in \mathfrak{S}_n$ is Baxter if for all $1 \leq a < b < c < d \leq n$,
 - \cdot if $\pi_a + 1 = \pi_d$ and $\pi_b > \pi_d$, then $\pi_c > \pi_d$.
 - \cdot if $\pi_d + 1 = \pi_a$ and $\pi_c > \pi_a$, then $\pi_b > \pi_a$.
- For every 2 black dots whose height differ by 1,
 - \cdot ...the broken line can be found,
 - \cdot ...such that dots in between are in shaded area.



Baxter permutation

• 5321746 is **not** Baxter.



 \cdot Bax_n := set of Baxter permutations of length n. **Theorem** [Chung, Graham, Hoggatt, Kleitman]

$$|\operatorname{Bax}_{n}| = \frac{2}{n(n+1)^{2}} \sum_{k=1}^{n} \binom{n+1}{k-1} \binom{n+1}{k} \binom{n+1}{k+1}.$$

Baxter permutation avoiding 123

 $\cdot \operatorname{Bax}_n(123) := \operatorname{Bax}_n$ and avoid 123.

Theorem [Mansour, Vajnovszki, 2007] $\sum_{n\geq 0} |\text{Bax}_n(123)| z^n = \frac{1-2z+z^2}{1-3z+2z^2-z^3}.$

 $|\operatorname{Bax}_n(123)|_{n\geq 0} = 1, 1, 2, 5, 12, 28, 65, \dots$

· $|\text{Bax}_n(123)|_{n \ge 0} = p_{3n}$, a Padovan number, defined by $(p_0, p_1, p_2) = (1, 0, 0)$ and $p_n = p_{n-2} + p_{n-3}$. · $|\text{Bax}_n(321)| = |\text{Bax}_n(123)|$

ARM on Bax $_n(321)$

• We have found an ARM-type identity on $Bax_n(321)$.

Theorem [--, 2012] For
$$n \ge 0$$
, we have

$$\sum_{\pi \in \text{Bax}_{2n+1}(321)} (-1)^{\max(\pi)} p^{\text{fix}(\pi)} q^{\text{des}(\pi)} = p \cdot \sum_{\pi \in \text{Bax}_n(321)} p^{2 \cdot \text{fix}(\pi)} q^{2\text{des}(\pi)}$$

 \cdot The sign is controlled by maj (somewhat surprising)

On Bax_{2n}(321)

• For the even length, the corresponding ARM identity is a sum.

Theorem [—, 2012] For $n \ge 0$, we have



Part 4

Double Simsun permutations (312)

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Simsun permutations

- $\pi \in \mathfrak{S}_n$ is simsun if for all $1 \le k \le n$,
 - $\cdot \pi$ restricted to $\{1, 2, \dots k\}$ has no double descent.
 - \cdot 6274351 is **not** simsun,
 - $\cdot \ 2431$ has double descent 431.

Let |SS_n| be the simsun permutations of length n.
Theorem [Simion, Sundaram].

 $|\mathbf{SS}_n| = |\mathbf{Alt}_{n+1}|.$

Double simsun permutations

- π is **double simsun** if both π and π^{-1} are simsun.
 - \cdot 51324 is simsun but **not** double simsun,

 \cdot since $(51324)^{-1} = 24351$ is not simsun.

• Let $|DS_n| :=$ double simsun permutations of length n. $\cdot |DS_n|$ is still unknown. However,....

Theorem [Chuang, Eu, Fu, Pan, Fundamenta Informaticae, to appear].

,

$$|DS_n(123)| = 2,$$

$$|DS_n(132)| = S_n,$$

$$|DS_n(213)| = S_n,$$

$$|DS_n(231)| = 2^{n-1},$$

$$|DS_n(312)| = 2^{n-1},$$

$$|DS_n(321)| = \frac{1}{n+1} \binom{2n}{n}$$

 \cdot where S_n is the 'RNA secondary structrue number'.

ARMs on DS_n(312)

Theorem [—, 2012]. For $n \ge 1$, we have

$$\sum_{\pi \in \mathrm{DS}_{2n+2}(312)} (-1)^{\mathrm{maj}(\pi)} \cdot q^{\mathrm{fix}(\pi)} = (-1+q^2) \sum_{\pi \in \mathrm{DS}_n(312)} q^{2\mathrm{fix}(\pi)}.$$

Theorem [—, 2012]. For $n \ge 2$, we have

$$\sum_{\pi \in \mathrm{DS}_{2n-1}(312)} (-1)^{\mathrm{maj}(\pi)} \cdot q^{\mathrm{lead}(\pi)} = \frac{2}{q(1+q^2)} \sum_{\pi \in \mathrm{DS}_n(312)} q^{2\mathrm{lead}(\pi)}.$$

Part 5 Idea of Proof

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Part 5-1

Sketch Proof: $Alt_n(321)$

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• **Step 1**: Map everything to trees. Alt_{2n} \leftrightarrow T_n

- $\cdot T_n :=$ plane trees with *n* edges.
 - \cdot hsum(T) := sum of heights of all nodes of T.
 - $\cdot \operatorname{lmp}(T) :=$ nodes on the leftmost path of T



• Step 2: Look at idenities on trees. We are to prove

$$\sum_{\text{Alt}_{10}(321)} (-1)^{\text{inv}(\pi)} q^{\text{lead}(\pi)} = (-1)^3 \sum_{\text{Alt}_4(321)} q^{2 \cdot \text{lead}(\pi)}$$

which becomes

$$\sum_{T_5} (-1)^{\text{hsum}(T)} q^{\text{lmp}(T)} = (-1) \sum_{T_2} q^{2 \cdot \text{lmp}(T)}$$

• Step 3: Devise an involution Φ (and a bijection Λ).



$$\sum_{\mathbf{T}_5} (-1)^{\mathbf{hsum}(T)} q^{\mathbf{lmp}(T)} = (-1) \sum_{\mathbf{T}_2} q^{2 \cdot \mathbf{lmp}(T)}$$

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• Φ : an involution (to cancel w.r.t. hsum).

- \cdot Find the last illegal vertex v via postorder
 - \cdot illegal vertex: (i) "leaf, but is not the first child" or

(ii) "inner vertex, but is the first child".



 \cdot Do the following (hsum will change sign, https://www.eep.unchanged).



· If \nexists illegal point, change the last (tree, vertex) \longleftrightarrow (vertex, tree).



· If \nexists illegal point, \nexists (tree, vertex), \nexists (vertex, tree), \Rightarrow a fix point.





- The remaining task is to map fix points to T₂.
 - \cdot We need a bijection $\Lambda: T_2 \to T_5$

• Λ : a bijection (from T_n to T_{2n+1}).



- Idea:
 - \cdot Double the points on the left most path.
 - · For each subtree, append a single edge on each vertex.

• Let us look the table again:



$$\sum_{T_5} (-1)^{\text{hsum}(T)} q^{\text{lmp}(T)} = (-1) \sum_{T_2} q^{2 \cdot \text{lmp}(T)}$$

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• Proof of $Alt_{4n}(321)$:



Part 5-2

Very sketched Proof: $Bax_n(321)$

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Sketch proof of $Bax_n(321)$

- Done by multivariable generating functions.
 - · Let $b_n := b_n(t, p, q) = \sum_{\pi \in \text{Bax}_n(321)} t^{\text{maj}(\pi)} p^{\text{fix}(\pi)} q^{\text{des}(\pi)}.$

• We are to prove $b_{2n+1}(-1, p, q) = p \cdot b_n(1, p^2, q^2)$.

• Very sketched proof:

$$g_n := b_n(1, p, q)$$

$$g_n = (2+p)g_{n-1} - (1+2p-q)g_{n-2} + p \cdot g_{n-3}.$$

$$h_n := b_n(-1, p, q)$$

$$h_{2n+1} = (2+p^2)h_{2n-1} - (1+2p^2 - q^2)g_{2n-3} + p^2 \cdot h_{2n-5}.$$

$$h_{1/3/5}(p,q) = p \cdot g_{0/1/2}(p^2,q^2)$$
 QED.

• **Problem:** Is there a combinatorial proof?

Part 5-3

Too sketched Proof: $DS_n(312)$

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Sketch proof of $DS_n(312)$

- Done by an involution on compositions of [n].
- Composition of [n] with $DS_n(312)$: [Eu et al, 2012] $\cdot 3 + 4 + 2 \longleftrightarrow 123|4567|89 \longleftrightarrow 312745698$
- We are to prove $\sum_{\pi \in DS_{2n+2}(312)} (-1)^{\operatorname{maj}(\pi)} \cdot q^{\operatorname{fix}(\pi)} = (-1+q^2) \sum_{\pi \in DS_n(312)} q^{2\operatorname{fix}(\pi)}.$ $\sum_{\pi \in DS_{2n-1}(312)} (-1)^{\operatorname{maj}(\pi)} \cdot q^{\operatorname{lead}(\pi)} = \frac{2}{q(1+q^2)} \sum_{\pi \in DS_n(312)} q^{2\operatorname{lead}(\pi)}.$
- Too sketched proof:
 - \cdot Observer what maj, fix, lead means on compositions.
 - Design involutions (...not trivial...).



Part 6 Discussions

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Naive believes were refuted over & over

• ...ARM appears only in $\mathfrak{S}_n(321)$?

 \rightarrow NO, we have Alt_n(321).

• ...ARM appears only in Catalan family? ...sign only controlled by inversion?

 \rightarrow NO, we have Bax_n(321).

• ...ARM appears only in 321-avoiding?

 \rightarrow NO, we have DD_n(312).

• ... If ARM appears, then f(q) is a polynomial?

$$\rightarrow$$
 NO, $f(q) = \frac{2}{q(1+q^2)}$ in DD_n(312).

General Setting

• Conceptually, we are searching for

 C_n , (stat₂, stat₂) such that essentially the following holds:

$$\sum_{\mathbf{C}_{2n}} (-1)^{\mathbf{stat}_1} q^{\mathbf{stat}_2} = f(q) \sum_{\mathbf{C}_n} q^{2 \cdot \mathbf{stat}_2},$$

where f(q) is some rational polynomial.

- In this talk we present
 - · Alt_n(321), (inv, lead)
 - $\cdot \operatorname{Bax}_n(321), (\operatorname{maj}, \operatorname{des})$
 - \cdot DS_n(312), (maj, fix)
 - \cdot DS_n(312), (maj, lead)

Are there others?

- Yes, we have about another 30 nontrivial results.
- E.g.
 - · Alt_n(321), (chg, ldes)
 - · Alt_n(321), (cochg, exc)
 - $\cdot \operatorname{Bax}_n(321), (\operatorname{chg}, \operatorname{des})$
 - $\cdot \operatorname{Bax}_n(321), (\operatorname{imaj}, \operatorname{fix})$
 - \cdot DS_n(231), (fix, end)
 - $\cdot \mathrm{DS}_n(231), (\mathrm{maj}, \mathrm{inv})$
 - \cdot ...etc.
- However, ARM identities are relatively rare.
 - · C_n , (stat₂, stat₂) seldom produces ARM.

Discussions

• Encouraging:

We have a bunch of nontrivial idenities.

• Disappointing:

Proofs are done case by case.

- Question: Why is there ARM type identities?
 - What is lurking behind?
 - Is there a unifying/systemetic approach?
 - \cdot Can it be generalized to, say, Coxter groups?
- We are working on these questions, with some progress.

Thanks



Flow gently, sweet Afton, among thy green braes, Flow gently, I'll sing thee a song in thy praise. — Robert Burns

Welcome any discussions and collaboration!