

A Fast Algorithm for Permutation Pattern Matching Based on Alternating Runs

Marie-Louise Bruner

(Joint work with Martin Lackner)



TECHNISCHE
UNIVERSITÄT
WIEN
Vienna University of Technology

Vienna University of Technology

June 13, 2012

Permutation Patterns, Glasgow

Permutation Pattern Matching

PERMUTATION PATTERN MATCHING (PPM)

Instance: A permutation T of length n (the text) and a permutation P of length $k \leq n$ (the pattern).

Question: Is there a matching of P into T ?

Permutation Pattern Matching

PERMUTATION PATTERN MATCHING (PPM)

Instance: A permutation T of length n (the text) and a permutation P of length $k \leq n$ (the pattern).

Question: Is there a matching of P into T ?

Is there an algorithm that runs faster than exponential time?

PPM is NP-complete

1993 (Bose, Buss, Lubiw): PPM is in general NP-complete.

PPM is NP-complete

1993 (Bose, Buss, Lubiw): PPM is in general NP-complete.

\Rightarrow unless $P = NP$,
PPM cannot be solved in polynomial time

Tractable cases of PPM

- ▶ Pattern avoids both 3142 and 2413
- ▶ $P = 12 \dots k$ or $P = k \dots 21$
- ▶ P has length at most 4
- ▶ Pattern and Text avoid 321

$$\mathcal{O}(kn^4)$$

$$\mathcal{O}(n \log \log n)$$

$$\mathcal{O}(n \log n)$$

$$\mathcal{O}(k^2 n^6)$$

The general case

Anything better than the
 $\mathcal{O}^*(2^n)$
runtime of brute-force search?

Parameterized Complexity Theory

Idea: confine the combinatorial explosion to a *parameter* of the input

Parameterized Complexity Theory

Idea: confine the combinatorial explosion to a *parameter* of the input

Parameterized Problem: $L \subseteq \Sigma^* \times \mathbb{N}$

Parameterized Complexity Theory

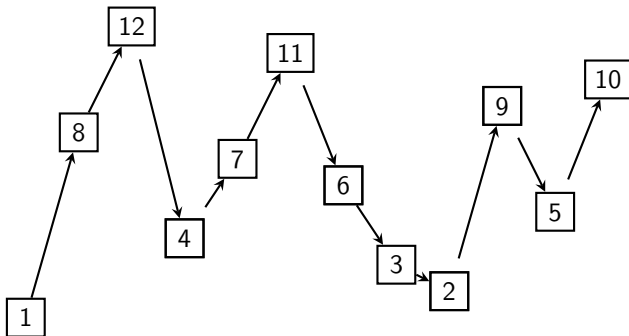
Idea: confine the combinatorial explosion to a *parameter* of the input

Parameterized Problem: $L \subseteq \Sigma^* \times \mathbb{N}$

Fixed-parameter tractability

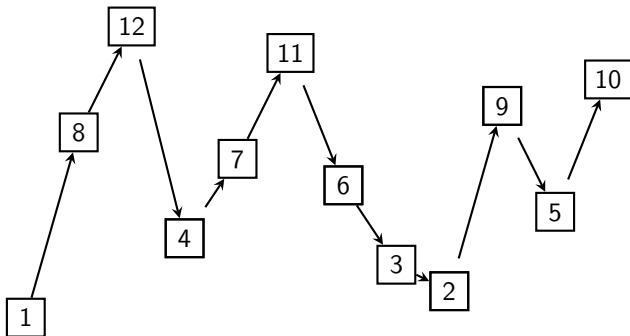
A parameterized problem L is *fixed-parameter tractable* if there is a computable function f and an integer c such that there is an algorithm solving L in time $\mathcal{O}(f(p) \cdot |I|^c)$.

Alternating runs



1 8 12 (up), 4 (down), 7 11 (up), 6 3 2 (down), 9 (up), 5 (down), 10 (up)

Alternating runs



1 8 12 (up), 4 (down), 7 11 (up), 6 3 2 (down), 9 (up), 5 (down), 10 (up)

Notation

$\text{run}(\pi)$...the number of alternating runs in π ,

$r(i) = j$ if i lies in the j -th run

The alternating run algorithm

- ▶ Matching functions:
Reduce the search space
- ▶ Dynamic programming algorithm:
Checks for every matching function whether there is a compatible matching

Matching functions

Pattern P



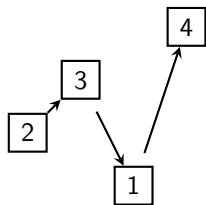
↓ matching function ↓



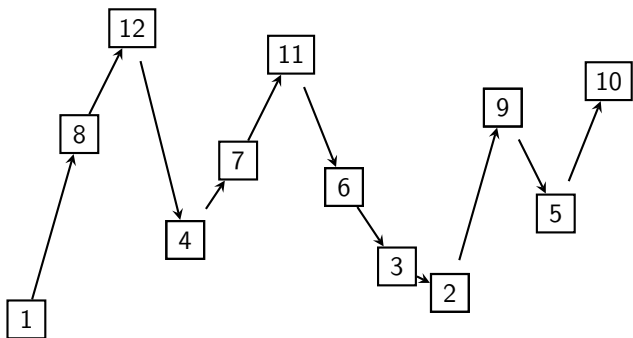
Text T

Matching functions - an example

Pattern P

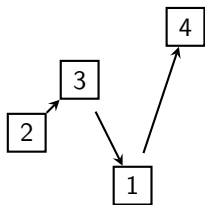


Text T

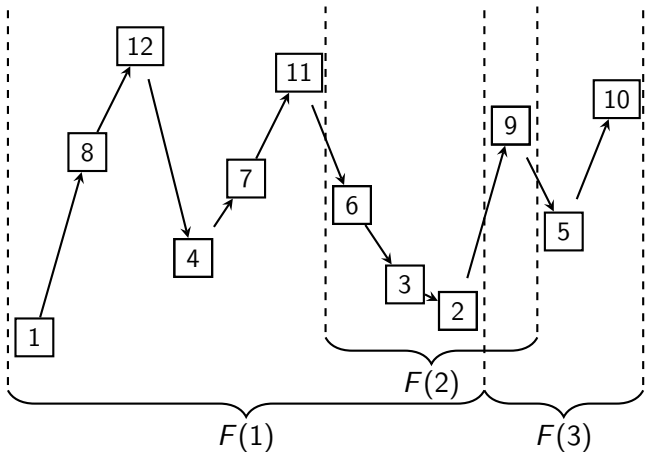


Matching functions - an example

Pattern P



Text T



The algorithm - finding a matching

The dynamic programming algorithm - Part 1

$X_0 := \{(0, \dots, 0)\}$. For every $\kappa \in [k]$ the data structure X_κ is recursively constructed. For $\mathbf{x} \in X_{\kappa-1}$ we search for $\nu \in [n]$ satisfying:

- ▶ $\nu \in F(r(\kappa))$
- ▶ Among all elements that are larger than $x_{r(\kappa-1)}$ and on the correct side of $x_{r(\kappa)}$, ν has to be a valley.
- ▶ If κ is not the largest element in its run in P , there has to be another larger element in $F(r(\kappa))$ lying to the right (resp. left) of ν if κ lies in a run up (resp. down).

Place $\mathbf{x}_\nu := (x_1, \dots, x_{r(\kappa)-1}, \nu, x_{r(\kappa)+1}, \dots, x_{\text{run}(P)})$ in X'_κ .

The algorithm - finding a matching

The dynamic programming algorithm - Part 1

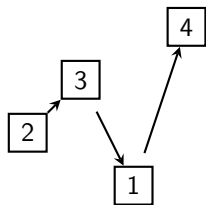
X'_κ still consists of too many elements. In order to obtain the desired runtime bounds, we apply the following rules:

- ▶ We call a *vale* a subsequence of T consisting of a consecutive run down and up. If the entries of \mathbf{x}_ν and \mathbf{y}_μ all lie within the same vales, it is sufficient to keep one of the two.
- ▶ If κ is the largest element in its run in P , it is enough to keep one choice for every \mathbf{x} in $X_{\kappa-1}$.

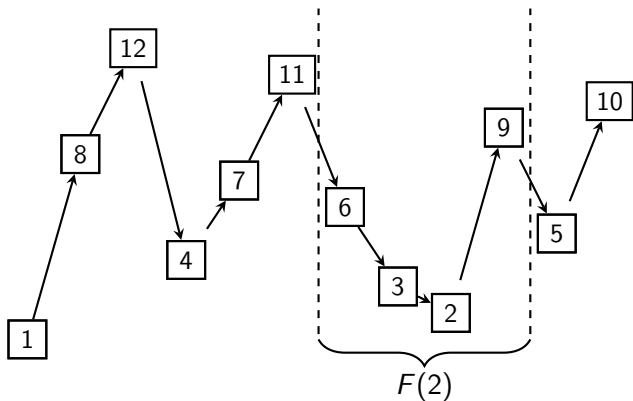
The remaining \mathbf{x}_ν 's then form X_κ .

The algorithm - finding a matching

Pattern P

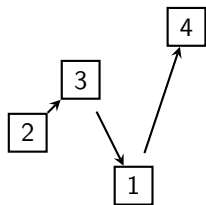


Text T

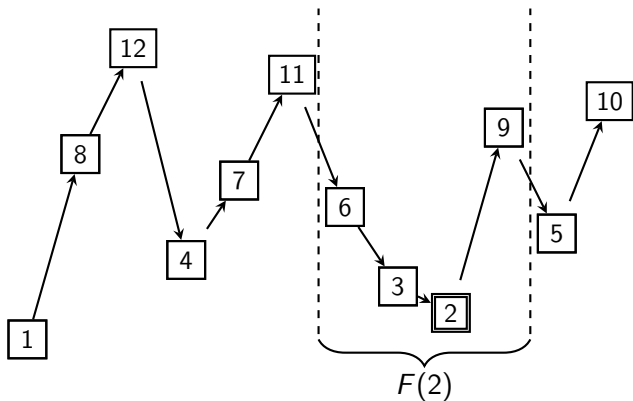


The algorithm - finding a matching

Pattern P

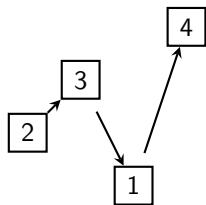


Text T

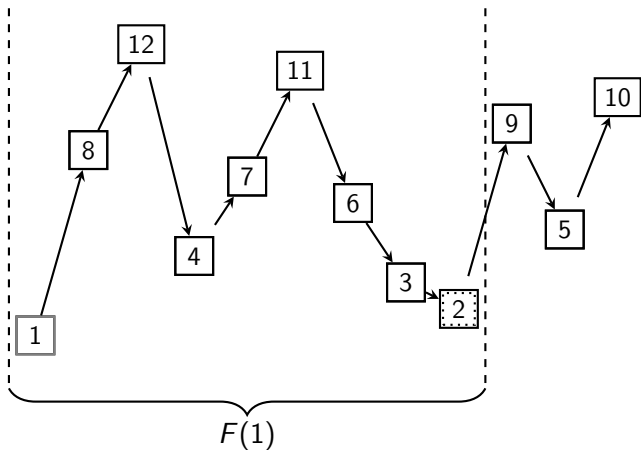


The algorithm - finding a matching

Pattern P

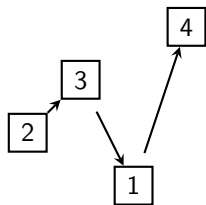


Text T

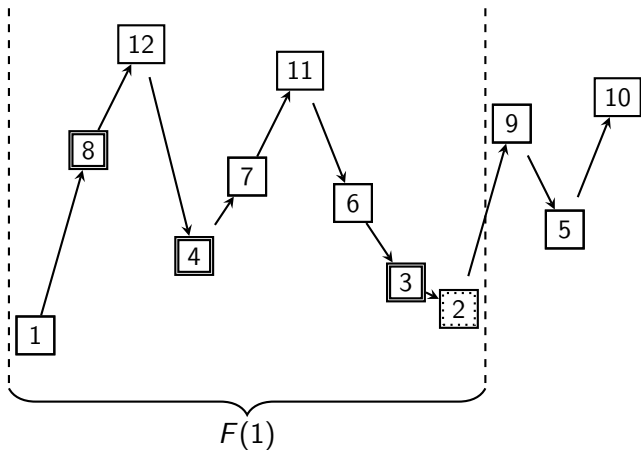


The algorithm - finding a matching

Pattern P

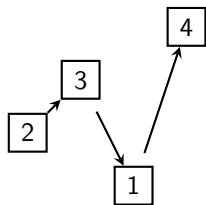


Text T

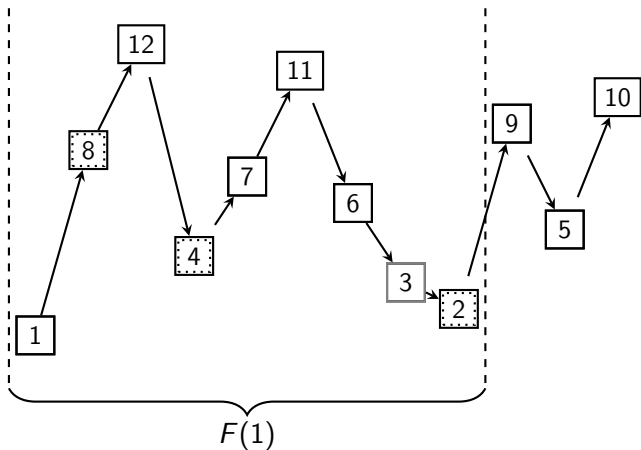


The algorithm - finding a matching

Pattern P

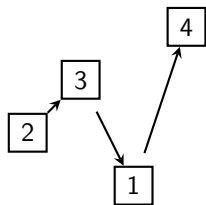


Text T

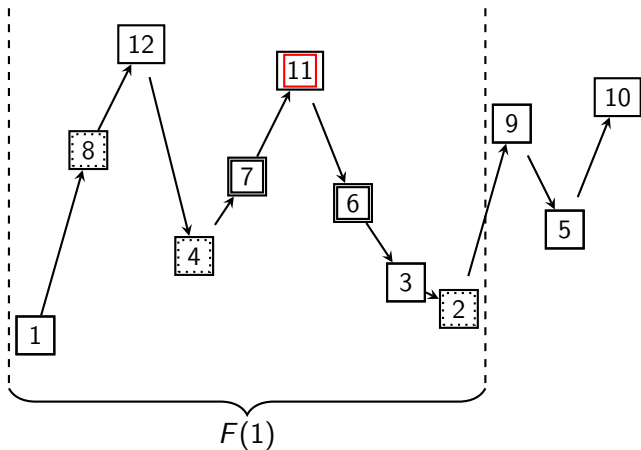


The algorithm - finding a matching

Pattern P

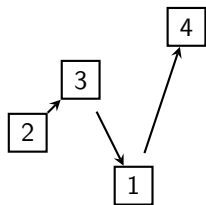


Text T

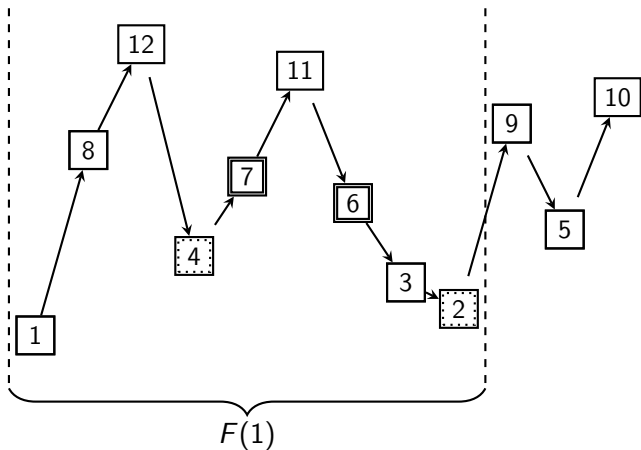


The algorithm - finding a matching

Pattern P

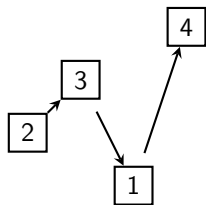


Text T

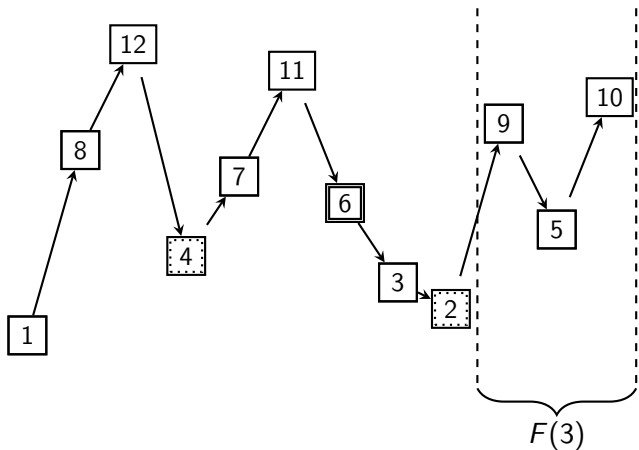


The algorithm - finding a matching

Pattern P

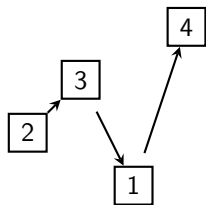


Text T

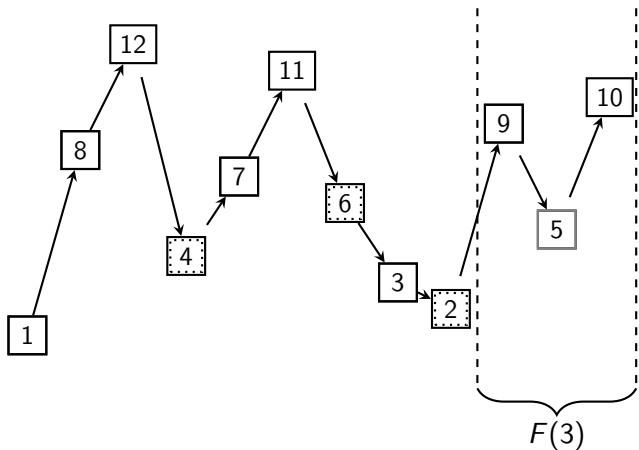


The algorithm - finding a matching

Pattern P

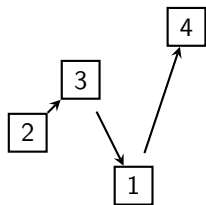


Text T

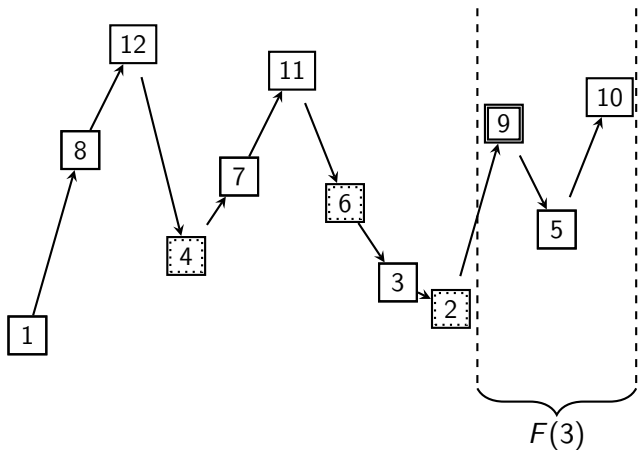


The algorithm - finding a matching

Pattern P



Text T



Runtime

Matching functions:

$$\sqrt{2}^{\text{run}(T)}$$

Dynamic programming algorithm:

$$\mathcal{O}^*(1.2611^{\text{run}(T)})$$

Runtime

Matching functions:

$$\sqrt{2}^{\text{run}(T)}$$

Dynamic programming algorithm:

$$\mathcal{O}^*(1.2611^{\text{run}(T)})$$

In total:

$$\mathcal{O}^*(1.784^{\text{run}(T)})$$

Runtime

Matching functions:	$\sqrt{2}^{\text{run}(T)}$
Dynamic programming algorithm:	$\mathcal{O}^*(1.2611^{\text{run}(T)})$
In total:	$\mathcal{O}^*(1.784^{\text{run}(T)})$

→ This is a fixed-parameter tractable (FPT) algorithm,
i.e. a runtime of $f(k) \cdot n^c$.

Runtime

Matching functions:	$\sqrt{2}^{\text{run}(T)}$
Dynamic programming algorithm:	$\mathcal{O}^*(1.2611^{\text{run}(T)})$
In total:	$\mathcal{O}^*(1.784^{\text{run}(T)})$

→ This is a fixed-parameter tractable (FPT) algorithm,
i.e. a runtime of $f(k) \cdot n^c$.

Since $\text{run}(T) \leq n$, we also obtain $\mathcal{O}^*(1.784^n)$

Alternating runs in the pattern run(P)

$$\mathcal{O}^*(1.784^{\text{run}(T)}) \quad \text{FPT, i.e. } f(k) \cdot n^c$$

$$\mathcal{O}^*\left(\left(\frac{n^2}{2^{\text{run}(P)}}\right)^{\text{run}(P)}\right) \quad \text{XP, i.e. } n^{f(k)}$$

Alternating runs in the pattern run(P)

$$\mathcal{O}^*(1.784^{\text{run}(T)})$$

FPT, i.e. $f(k) \cdot n^c$

$$\mathcal{O}^*\left(\left(\frac{n^2}{2^{\text{run}(P)}}\right)^{\text{run}(P)}\right)$$

XP, i.e. $n^{f(k)}$

no FPT result possible (W[1]-hardness)

Conclusion

What have we done?

We found

- ▶ a fast algorithm for the parameter $\text{run}(T)$,
- ▶ a slow algorithm for the parameter $\text{run}(P)$.

Conclusion

What have we done?

We found

- ▶ a fast algorithm for the parameter $\text{run}(T)$,
- ▶ a slow algorithm for the parameter $\text{run}(P)$.

Future work

- ▶ PPM parameterized by some other parameter of P ? By $k = \text{run}(P)$?

Conclusion

What have we done?

We found

- ▶ a fast algorithm for the parameter $\text{run}(T)$,
- ▶ a slow algorithm for the parameter $\text{run}(P)$.

Future work

- ▶ PPM parameterized by some other parameter of P ? By $k = \text{run}(P)$?
- ▶ Other permutation statistics in the text?